RESOLUTION NO. 18-99

RESOLUTION OF THE HUMBOLDT COUNTY BOARD OF SUPERVISORS
RESCINDING RESOLUTION NO. 16-112 AND ADOPTING AN AMENDED
CONFLICT OF INTEREST CODE FOR THE COUNTY OF HUMBOLDT

WHEREAS, the Political Reform Act, California Government Code Sections 81000, et seq., requires state and local government agencies to adopt and promulgate conflict of interest codes; and

WHEREAS, the Fair Political Practices Commission has adopted Section 18730 of Title 2 of the California Code of Regulations ("C.C.R."), which contains the terms of a standard conflict of interest code; and

WHEREAS, 2 C.C.R. Section 18730 can be incorporated by reference, and amended by the Fair Political Practices Commission after holding a properly noticed public hearing, in order to ensure conformance with the Political Reform Act; and

WHEREAS, the conflict of interest code previously approved on October 4, 2016 by the Humboldt County Board of Supervisors through the adoption of Resolution No. 16-112 is in need of revision; and

WHEREAS, all affected departments of the County of Humboldt have had an opportunity to review and comment on the proposed conflict of interest code.

NOW THEREFORE, THE HUMBOLDT COUNTY BOARD OF SUPERVISORS HEREBY RESOLVES AS FOLLOWS:

1. Resolution No. 16-112, as adopted by the Humboldt County Board of Supervisors on October 4, 2016, is hereby rescinded.

2. The provisions of 2 C.C.R. Section 18730, and any duly adopted amendments thereto, which are hereby incorporated by reference, along with the attached appendixes in which employees are designated and disclosure categories are set forth, constitute the Conflict of Interest Code of the County of Humboldt.

3. The Amended Conflict of Interest Code for the County of Humboldt, along with any and all appendixes attached thereto, as set forth herein and attached hereto, have been determined to comply with the requirements of the Political Reform Act of 1974.

4. The Amended Conflict of Interest Code for the County of Humboldt, along with any and all Appendixes thereto, as set forth herein and attached hereto, are hereby approved and adopted as authorized by 2 C.C.R. Section 18730.

§18730. Provisions of Conflict of Interest Codes.
(a) Incorporation by reference of the terms of this regulation along with the designation of employees and the formulation of disclosure categories in the Appendix referred to below constitute the adoption and promulgation of a conflict of interest code within the meaning of California Government Code Section 87300 or the amendment of a conflict of interest code within the meaning of California Government Code Section 87306 if the terms of this regulation are substituted for terms of a conflict of interest code already in effect. A conflict of interest code so amended or adopted and promulgated requires the reporting of reportable items in a manner substantially equivalent to the requirements of Article 2 of Chapter 7 of the Political Reform Act, California Government Code Sections 81000, et seq. The requirements of a conflict of interest code are in addition to other requirements of the Political Reform Act, such as the general prohibition against conflicts of interest contained in California Government Code Section 87100, and to other state or local laws pertaining to conflicts of interest.

(b) The terms of a conflict of interest code amended or adopted and promulgated pursuant to this regulation are as follows:

Section 1. Definitions.

The definitions contained in the Political Reform Act of 1974, Regulations of the Fair Political Practices Commission (2 C.C.R. Sections 18110, et seq.), and any duly adopted amendments thereto, are hereby incorporated by reference into this Conflict of Interest Code.

Section 2. Designated Employees.

The persons holding positions listed in the Appendix are designated employees. It has been determined that these persons make or participate in the making of decisions which may foreseeably have a material effect on economic interests.

Section 3. Disclosure Categories.

This Conflict of Interest Code does not establish any disclosure obligation for those designated employees who are also specified in California Government Code Section 87200 if they are designated in this Conflict of Interest Code in that same capacity or if the geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction in which those persons must report their economic interests pursuant to Article 2 of Chapter 7 of the Political Reform Act, California Government Code Sections 87200, et seq.¹

¹Designated employees who are required to file Statements of Economic Interests under any other agency's Conflict of Interest Code, or under Article 2 for a different jurisdiction, may expand their Statement of Economic Interests to cover reportable interests in both jurisdictions, and file copies of this expanded Statement of Economic Interests with
In addition, this Conflict of Interest Code does not establish any disclosure obligation for any designated employees who are designated in a conflict of interest code for another agency, if all of the following apply:

(A) The geographical jurisdiction of this agency is the same as or is wholly included within the jurisdiction of the other agency;

(B) The disclosure assigned in the conflict of interest code of the other agency is the same as that required under Article 2 of Chapter 7 of the Political Reform Act, California Government Code Section 87200; and

(C) The filing officer is the same for both agencies.

Such persons are covered by this Code for disqualification purposes only. With respect to all other designated employees, the disclosure categories set forth in the Appendix specify which kinds of economic interests are reportable. Such a designated employee shall disclose in his or her Statement of Economic Interests those economic interests he or she has which are of the kind described in the disclosure categories to which he or she is assigned in the Appendix. It has been determined that the economic interests set forth in a designated employee's disclosure categories are the kinds of economic interests which he or she foreseeably can affect materially through the conduct of his or her office.

Section 4. Statements of Economic Interests – Place of Filing.

The code reviewing body shall instruct all designated employees within this Conflict of Interest Code to file Statements of Economic Interests with the agency or with the code reviewing body as provided by this Conflict of Interest Code. 2

The department head of each county department is the filing officer for the employees of each such department who are required to file Statements of Economic Interests pursuant to this Conflict of Interest Code. Department heads shall complete and forward their personal Statements of Economic Interests to the Registrar of Voters who shall

both entities in lieu of filing separate and distinct Statements of Economic Interests, provided that each copy of such expanded Statement of Economic Interests filed in place of an original is signed and verified by the designated employee as if it were an original. (See California Government Code Section 81004.)

2See California Government Code Section 81010 and 2 C.C.R. Section 18115 for the duties of filing officers and persons in agencies who make and retain copies of Statements of Economic Interests and forward the originals to the filing officer.
retain said statements within that department.

For county boards, committees, councils, commissions, panels, task forces, advisory groups, coordinating councils, corporations, coalitions, and the like that have a “sponsoring department,” the department head of the “sponsoring department” is designated as the filing officer. For county boards, committees, councils, commissions, panels, task forces, advisory groups, coordinating councils, corporations, coalitions and the like that do not have a “sponsoring department,” the commission/committee secretary is the designated filing officer.

Section 5. Statements of Economic Interests – Time of Filing.

(A) Initial Statements. All designated employees employed by the agency on the effective date of this Conflict of Interest Code as originally adopted, promulgated and approved by the code reviewing body, shall file Statements of Economic Interests within thirty (30) calendar days after the effective date of this Conflict of Interest Code. Thereafter, each person already in a position when it is designated by an amendment to this Conflict of Interest Code shall file an initial Statement of Economic Interests within thirty (30) calendar days after the effective date of the amendment.

(B) Assuming Office Statements. All persons assuming designated positions after the effective date of this Conflict of Interest Code shall file Statements of Economic Interests within thirty (30) calendar days after assuming the designated positions, or if subject to State Senate confirmation, thirty (30) calendar days after being nominated or appointed.

(C) Annual Statements. All designated employees shall file Statements of Economic Interests no later than April 1. If a person reports for military service as defined in the Service member’s Civil Relief Act, the deadline for the annual Statement of Economic Interests is thirty (30) calendar days following his or her return to office, provided the person, or someone authorized to represent the person’s interests, notifies the filing officer in writing prior to the applicable filing deadline that he or she is subject to that federal statute and is unable to meet the applicable deadline, and provides the filing officer verification of his or her military status.

(D) Leaving Office Statements. All persons who leave designated positions shall file Statements of Economic Interests within thirty (30) calendar days after leaving office.
Section 5.5. **Statements for Persons Who Resign Prior to Assuming Office.**

Any person who resigns within twelve (12) months of initial appointment, or within thirty (30) calendar days of the date of notice provided by the filing officer to file an assuming office Statement of Economic Interests, is not deemed to have assumed office or left office, provided he or she did not make or participate in the making of, or use his or her position to influence any decision and did not receive or become entitled to receive any form of payment as a result of his or her appointment. Such persons shall not file either an assuming or leaving office Statement of Economic Interests.

(A) Any person who resigns a position within thirty (30) calendar days of the date of a notice from the filing officer shall do both of the following:

(1) File a written resignation with the appointing power; and

(2) File a written statement with the filing officer declaring under penalty of perjury that during the period between appointment and resignation he or she did not make, participate in the making, or use the position to influence any decision of the agency or receive, or become entitled to receive, any form of payment by virtue of being appointed to the position.

Section 6. **Contents of and Period Covered by Statements of Economic Interests.**

(A) **Contents of Initial Statements.** Initial Statements Economic Interests shall disclose any reportable investments, interest in real property and business positions held on the effective date of this Conflict of Interest Code and income received during the twelve (12) months prior to the effective date of this Conflict of Interest Code.

(B) **Contents of Assuming Office Statements.** Assuming office Statements of Economic Interests shall disclose any reportable investments, interest in real property and business positions held on the date of assuming office, or if subject to State Senate confirmation or appointment, on the date of nomination, and income received during the twelve (12) months prior to the date of assuming office or the date of being appointed or nominated, respectively.

(C) **Contents of Annual Statements.** Annual Statements of Economic Interests shall disclose any reportable investments,
interests in real property, income and business positions held or received during the previous calendar year provided, however, that the period covered by an employee's first annual Statement of Economic Interests shall begin on the effective date of this Conflict of Interest Code or the date of assuming office whichever is later, or for a board or commission member subject to California Government Code Section 87302.6, the day after the closing date of the most recent Statement of Economic Interests filed by the member pursuant to 2 C.C.R. Section 18754.

(D) Contents of Leaving Office Statements. Leaving office Statements of Economic Interests shall disclose reportable investments, interests in real property, income and business positions held or received during the period between the closing date of the last Statement of Economic Interests filed and the date of leaving office.

Section 7. Manner of Reporting.

Statements of Economic Interests shall be made on forms prescribed by the Fair Political Practices Commission and supplied by the agency, and shall contain the following information:

(A) Investments and Real Property Disclosure. When an investment or an interest in real property is required to be reported, the Statement of Economic Interests shall contain the following:

(1) A statement of the nature of the investment or interest;

(2) The name of the business entity in which each investment is held, and a general description of the business activity in which the business entity is engaged;

(3) The address or other precise location of the real property;

(4) A statement whether the fair market value of the investment or interest in real property equals or exceeds Two Thousand

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3For purpose of disclosure only (not disqualification), an interest in real property does not include the principal residence of the designated employee.

4Investments and interests in real property which have a fair market value of less than Two Thousand Dollars ($2,000.00) are not investments and interests in real property within the meaning of the Political Reform Act. However, investments or interests in real property of an individual include those held by the individual's spouse and dependent children as well as a pro rata share of any investment or interest in real property of any business entity or trust in which the individual, spouse and dependent children own, in the aggregate, a direct, indirect or beneficial interest of ten percent (10%) or greater.
Dollars ($2,000.00), exceeds Ten Thousand Dollars ($10,000.00), exceeds One Hundred Thousand Dollars ($100,000.00), or exceeds One Million Dollars ($1,000,000.00).

(B) Personal Income Disclosure. When personal income is required to be reported, the Statement of Economic Interests shall contain:

(1) The name and address of each source of income aggregating Five Hundred Dollars ($500.00) or more in value, or Fifty Dollars ($50.00) or more in value if the income was a gift, and a general description of the business activity, if any, of each source;

(2) A statement whether the aggregate value of income from each source, or in the case of a loan, the highest amount owed to each source, was One Thousand Dollars ($1,000.00) or less, greater than One Thousand Dollars ($1,000.00), greater than Ten Thousand Dollars ($10,000.00), or greater than One Hundred Thousand Dollars ($100,000.00).

(3) A description of the consideration, if any, for which the income was received;

(4) In the case of a gift, the name, address and business activity of the donor and any intermediary through which the gift was made; a description of the gift; the amount or value of the gift; and the date on which the gift was received;

(5) In the case of a loan, the annual interest rate and the security, if any, given for the loan and the term of the loan.

(C) Business Entity Income Disclosure. When income of a business entity, including income of a sole proprietorship, is required to be reported, the Statement of Economic Interests shall contain:

(1) The name, address, and a general description of the business activity of the business entity;

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5A designated employee’s income includes his or her community property interest in the income of his or her spouse, but does not include salary or reimbursement for expenses received from a state, local or federal government agency.

6Income of a business entity is reportable if the direct, indirect or beneficial interest of the designated employee and the designated employee’s spouse in the business entity aggregates a ten percent (10%) or greater interest. In addition, the disclosure of persons who are clients or customers of a business entity is required only if the clients or customers are within one (1) of the disclosure categories of the designated employee.
(2) The name of every person from whom the business entity received payments if the filer’s pro rata share of gross receipts from such person was equal to or greater than Ten Thousand Dollars ($10,000.00).

(D) **Travel.** When a payment, including an advance or reimbursement, for travel is required to be reported pursuant to this section, it may be reported on a separate travel reimbursement schedule which shall be included in the filer’s Statement of Economic Interests. A filer who chooses not to use the travel schedule shall disclose payments for travel as a gift, unless it is clear from all surrounding circumstances that the services provided were equal to or greater in value than the payments for the travel, in which case the travel may be reported as income.

(E) **Business Position Disclosures.** When business positions are required to be reported, a designated employee shall list the name and address of each business entity in which he or she is a director, officer, partner, trustee, employee, or in which he or she holds any position of management, a description of the business activity in which the business entity is engaged, and the designated employee’s position with the business entity.

(F) **Acquisition or Disposal During Reporting Period.** In the case of an annual or leaving office Statement of Economic Interests, if an investment or an interest in real property was partially or wholly acquired or disposed of during the period covered by the Statement of Economic Interests, the Statement of Economic Interests shall contain the date of acquisition or disposal.

**Section 8. Prohibition on Receipt of Honoraria.**

The Prohibition on Receipt of Honoraria contained in the Political Reform Act of 1974, as set forth in the Regulations of the Fair Political Practices Commission, 2 C.C.R. Section 18730(b)(8)-(8.4), are hereby incorporated by reference into this Conflict of Interest Code.

**Section 9. Disqualification.**

No designated employee shall make, participate in making, or in any way attempt to use his or her official position to influence the making of any governmental decision which he or she knows or has reason to know will have a reasonably foreseeable material financial effect, distinguishable from its effect on the public generally, on the official or a member of his or her immediate family or on:
(A) Any business entity in which the designated employee has a direct or indirect investment worth Two Thousand Dollars ($2,000.00) or more;

(B) Any real property in which the designated employee has a direct or indirect interest worth Two Thousand Dollars ($2,000.00) or more;

(C) Any source of income, other than gifts and other than loans by a commercial lending institution in the regular course of business on terms available to the public without regard to official status, aggregating Five Hundred Dollars ($500.00) or more in value provided to, received by or promised to the designated employee within twelve (12) months prior to the time when the decision is made;

(D) Any business entity in which the designated employee is a director, officer, partner, trustee, employee, or holds any position of management; or

(E) Any donor, or any agent for a donor, of a gift or gifts aggregating Four Hundred Seventy Dollars ($470.00) or more provided to, received by, or promised to the designated employee within twelve (12) months prior to the time when the decision is made.

Section 9.1. Legally Required Participation.

No designated employee shall be prevented from making or participating in the making of any decision to the extent his or her participation is legally required for the decision to be made. The fact that the vote of a designated employee who is on a voting body is needed to break a tie does not make his or her participation legally required for purposes of this section.

Section 9.2. Disqualification of State Officers and Employees.

In addition to the general disqualification provisions of Section 9, no state administrative official shall make, participate in making, or use his or her official position to influence any governmental decision directly relating to any contract where the state administrative official knows or has reason to know that any party to the contract is a person with whom the state administrative official, or any member of his or her immediate family has, within twelve (12) months prior to the time when the official action is to be taken:

(A) Engaged in a business transaction or transactions on terms not
available to members of the public, regarding any investment or interest in real property; or

(B) Engaged in a business transaction or transactions on terms not available to members of the public regarding the rendering of goods or services totaling in value One Thousand Dollars ($1,000.00) or more.

Section 10. Disclosure of Disqualifying Interest.

When a designated employee determines that he or she should not make a governmental decision because he or she has a disqualifying interest in it, the determination not to act must be accompanied by disclosure of the disqualifying interest. In the case of a voting body, such determination, and disclosure thereof, shall be made part of the official record; in the case of a designated employee who is the head of an agency, such determination, and disclosure thereof, shall be made in writing to the appointing authority or the County Administrative Officer, if said employee is supervised thereby; and in the case of other designated employees, such a determination shall be made in writing to the designated employee’s supervisor.

Section 11. Assistance of the Commission.

Any designated employee who is unsure of his or her duties under this Conflict of Interest Code may request assistance from the Fair Political Practices Commission pursuant to California Government Code Section 83114, and 2 C.C.R. Sections 18329 and 18329.5.

Section 12. Violations.

This Conflict of Interest Code has the force and effect of the law. Designated employees violating any provision of this Code are subject to the administrative, criminal and civil sanctions provided in the Political Reform Act, California Government Code Sections 81000 through 91014. In addition, a decision in relation to which a violation of the disqualification provisions of this Conflict of Interest Code, or of California Government Code Sections 87100 or 87450, has occurred may be set aside as void pursuant to California Government Code Section 91003.

The following positions are not designated by the County of Humboldt Conflict of Interest Code because they are subject to Article 2, Chapter 7, Title 9 (commencing with Section 87200) of the California Government Code, and file Conflict of Interest Statements with the Registrar of Voters:
Members of the Board of Supervisors          District Attorney
        County Administrative Officer          Planning Commissioners
        County Counsel                          Treasurer-Tax Collector

Dated: October 2, 2018

Ryan Sundberg, Chair
Humboldt County Board of Supervisors

Adopted on motion by Supervisor Fennell, seconded by Supervisor Bass, and the following vote:

AYES:      Supervisors  Bohn, Fennell, Bass, Sundberg
NAYS:      Supervisors  --
ABSENT:    Supervisors  Wilson
ABSTAIN:   Supervisors  --

STATE OF CALIFORNIA  )
County of Humboldt  )

I, KATHY HAYES, Clerk of the Board of Supervisors, County of Humboldt, State of California, do hereby certify the foregoing to be an original made in the above-entitled matter by said Board of Supervisors at a meeting held in Eureka, California.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Seal of said Board of Supervisors.

By Ryan Sharp
Deputy Clerk of the Board of Supervisors of the County of Humboldt, State of California
APPENDIX "A"

DISCLOSURE CATEGORIES

The disclosure categories for property and outside business positions which are established appear below.

**CATEGORY 1:** All investments and business positions in, and sources of income (including gifts) from all business entities that do business or own real property in Humboldt County, plan to do business or own real property in Humboldt County within the past two (2) years.

**CATEGORY 2:** All interests in real property which are located in whole or part within, or not more than two (2) miles outside the boundaries of Humboldt County.

**CATEGORY 3:** All investments in and sources of income (including gifts) from business entities that are engaged in land development construction or the acquisition or sale of real property in Humboldt County, plan to engage in such activities in the County within the next year or have engaged in such activities in the County within the past two (2) years.

**CATEGORY 4:** All investments and business positions in and sources of income (including gifts) from business entities that are banking, savings and loan or other financial institutions.

**CATEGORY 5.** All investments and business positions in and sources of income (including gifts) from business entities that provide services, supplies, materials, machinery or equipment of a type purchased or leased by the County of Humboldt.
CATEGORY 6. All investments and business positions in and sources of income (including gifts) from business entities that provide services, supplies, materials, machinery or equipment of a type used or administered or proposed to be acquired by, leased by, used by or administered by the designated employee's department or reviewed, commented upon or recommended by the designated member's board commission, committee or council.

CATEGORY 7: All investments and business positions in, and sources of income (including gifts) from business entities subject to review regulation, permitting or licensing authority of the designated employee's department, or designated member's board, commission, committees or council or which will be subject to such authority within the next year or have been subject to such authority within the past two years.
COUNTY DEPARTMENTS:

Note: Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest category in the code subject to the following limitation.

The department head may determine in writing that a particular consultant, although a "designated position", is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The department head's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code.

AGRICULTURE COMMISSIONER

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<tr>
<td>Agriculture Commissioner/Sealer of Weights &amp; Measures</td>
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<td>Weights and Measures Inspector I/II/Senior</td>
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<td>Consultants</td>
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ALTERNATE COUNSEL

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<tr>
<td>Conflict Counsel</td>
<td>1,2,4,5,6</td>
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<tr>
<td>Deputy Public Defender I/II/III/IV</td>
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<td>Supervising Attorney</td>
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<td>Consultants</td>
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ASSESSOR

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<td>Appraiser I/II/III</td>
<td>1,2,3,4,5,6,7</td>
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<tr>
<td>Assessor</td>
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<tr>
<td>Deputy Assessor</td>
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<tr>
<td>Auditor-Appraiser I/II/III</td>
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<tr>
<td>Executive Secretary</td>
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<td>Consultants</td>
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AUDITOR-CONTROLLER

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<tr>
<td>Assistant Auditor-Controller</td>
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<td>Auditor-Controller</td>
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<td>Consultants</td>
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AVIATION

**Designated Positions:**
Aviation Director

**Disclosure Category:**
1,2,3,4,5,6,7

BOARD OF SUPERVISORS

**Designated Positions:**
Administrative Support Manager/Clerk of the Board
Deputy Clerk of the Board II/III
Consultants

**Disclosure Category:**
3,4,5,6,7
1,2,3,4,5,6,7

CHILD SUPPORT SERVICES

**Designated Positions:**
Assistant Director of Child Support Services
Child Support Attorney I/II/III/IV
Child Support Program Manager
Child Support Special Programs Coordinator
Director of Child Support Services
Information Systems Supervisor
Legal Office Business Manager
Consultants

**Disclosure Category:**
1,2,3,4,5,6,7
4,5,6
1,2,3,4,5,6,7
3,4,5,6
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7

CONFLICT COUNSEL

**Designated Positions:**
Conflict Counsel
Deputy Public Defender I/II/III/IV
Consultants

**Disclosure Category:**
1,2,4,5,6
4,5,6
1,2,3,4,5,6,7

COOPERATIVE EXTENSION

**Designated Positions:**
Consultants

**Disclosure Category:**
1,2,3,4,5,6,7

CORONER-PUBLIC ADMINISTRATOR

**Designated Positions:**
Coroner-Public Administrator
Consultants

**Disclosure Category:**
1,2,4,5,6,7
1,2,3,4,5,6,7
**COUNTY ADMINISTRATIVE OFFICE & DIVISIONS**

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<th>Designated Positions:</th>
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<tr>
<td>Administrative Services Officer</td>
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<tr>
<td>Assistant County Administrative Officer</td>
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<td>Buyer I/II</td>
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<td>CAO Project Manager</td>
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<tr>
<td>Deputy County Administrative Officer</td>
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<tr>
<td>Economic Development Coordinator</td>
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<tr>
<td>IT Division Director</td>
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<tr>
<td>IT Applications Analyst Supervisor</td>
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<tr>
<td>IT Security Analyst I/II/III</td>
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<td>Senior Administrative Analyst</td>
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<tr>
<td>Senior Buyer</td>
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<tr>
<td>Senior IT Technician</td>
<td>3,4,5,6,7</td>
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<tr>
<td>Consultants</td>
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**COUNTY CLERK/RECORDER/REGISTRAR OF VOTERS**

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<tr>
<td>Assistant County Clerk</td>
<td>3,4,5,6,7</td>
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<td>County Clerk/Recorder/Registrar of Voters</td>
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<td>Elections Manager</td>
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<td>Consultants</td>
<td>1,2,3,4,5,6,7</td>
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**COUNTY COUNSEL**

<table>
<thead>
<tr>
<th>Designated Positions:</th>
<th>Disclosure Category:</th>
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<tbody>
<tr>
<td>Assistant County Counsel</td>
<td>1,2,3,4,5,6,7</td>
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<tr>
<td>Deputy County Counsel I/II/III/IV</td>
<td>1,2,3,4,5,6,7</td>
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<tr>
<td>Legal Office Services Manager</td>
<td>6,7</td>
</tr>
<tr>
<td>Senior Deputy County Counsel</td>
<td>1,2,3,4,5,6,7</td>
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<tr>
<td>Consultants</td>
<td>1,2,3,4,5,6,7</td>
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**DEPARTMENT OF HEALTH AND HUMAN SERVICES - ADMINISTRATION**

<table>
<thead>
<tr>
<th>Designated Positions:</th>
<th>Disclosure Category:</th>
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<tbody>
<tr>
<td>Administrative Analyst - Information Services</td>
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<tr>
<td>Assistant Director</td>
<td>1,2,3,4,5,6,7</td>
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<tr>
<td>Department Information System Supervisor</td>
<td>4,5,6,7</td>
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<tr>
<td>Deputy Director-Employee Services</td>
<td>1,2,3,4,5,6,7</td>
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<tr>
<td>Deputy Director-Financial Services</td>
<td>1,2,3,4,5,6,7</td>
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<tr>
<td>Deputy Director-Information Services</td>
<td>1,2,3,4,5,6,7</td>
</tr>
<tr>
<td>Policy &amp; Legislative Manager</td>
<td>4,5,6,7</td>
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<tr>
<td>Public Education &amp; Information Manager</td>
<td>3,4,5,6,7</td>
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</table>
Staff Services Analyst III (Legislative Analyst) 4,5,6,7
Director 1,2,3,4,5,6,7

**DEPARTMENT OF HEALTH AND HUMAN SERVICES -- MENTAL HEALTH, ALCOHOL AND DRUG**

**Designated Positions:**
Administrative Analyst- Managed Care 4,5,6
Assistant Director - Psychiatric Nursing 4,5,6
Budget Specialist 4,5,6
Deputy Branch Director 2,4,5,6,7
Director of Dietary Services 4,5,6
Director - Psychiatric Nursing 4,5,6
Fiscal Officer 4,5,6
Medical Director 2,4,5,6,7
Medical Records Manager 4,5,6,7
Mental Health Branch Director 1,2,4,5,6,7
Mental Health Program Manager 4,5,6,7
Quality Management Coordinator 4,5,6
Senior Fiscal Assistant - Purchasing 4,5,6
Senior Mental Health Maintenance Custodian 4,5,6
Senior Mental Health Program Manager 4,5,6,7
Consultant 1,2,3,4,5,6,7

**DEPARTMENT OF HEALTH AND HUMAN SERVICES -- PUBLIC HEALTH**

**Designated Positions:**
Budget Specialist 4,5,6
Deputy Branch Director 2,4,5,6,7
Deputy Health Officer 4,5,6
Director of Environmental Health 1,2,3,4,5,6,7
Director of Public Health Nursing 4,5,6,7
Environmental Health Specialist I/II 2,3,4,7
Fiscal Services Supervisor 2,5
Hazardous Materials Specialist 2,3,4,7
Health Officer 1,2,4,5,6,7
Health Program Coordinator 4,5,6
Program Coordinator 4,5,6
Program Manager 4,5,6,7
Public Health Branch Director 1,2,4,5,6,7
Public Health Laboratory Manager 4,5,6
Senior Environmental Health Specialist 2,3,4,7
Senior Hazardous Materials Specialist 2,3,4,7
Sr. Program Manager - Public Health 4,5,6,7
Supervising Environmental Health Specialist 2,3,4,7
Consultants 1,2,3,4,5,6,7
# DEPARTMENT OF HEALTH AND HUMAN SERVICES--SOCIAL SERVICES

**Designated Positions:**
- Administrative Services Officer
- Assistant Public Guardian
- Deputy Branch Director
- Deputy Public Guardian
- Employment Training Manager
- Fiscal Services Supervisor
- Office Services Supervisor
- Program Manager II
- Public Guardian
- Senior Information System Analyst
- Senior Staff Services Analyst
- Social Services Branch Director
- Supervising Welfare Investigator
- Veterans Service Officer
- Consultants

**Disclosure Category:**
- Administrative Services Officer: 4,5,6,7
- Assistant Public Guardian: 3,4,5,6,7
- Deputy Branch Director: 2,4,5,6,7
- Deputy Public Guardian: 3,4,5,6,7
- Employment Training Manager: 4,5,6,7
- Fiscal Services Supervisor: 4,5,6,7
- Office Services Supervisor: 4,5,6,7
- Program Manager II: 4,5,6,7
- Public Guardian: 1,2,3,4,5,6,7
- Senior Information System Analyst: 4,5,6,7
- Senior Staff Services Analyst: 4,5,6,7
- Social Services Branch Director: 1,2,4,5,6,7
- Supervising Welfare Investigator: 4,5,6,7
- Veterans Service Officer: 3,4,5,6,7
- Consultants: 1,2,3,4,5,6,7

# DISTRICT ATTORNEY

**Designated Positions:**
- Assistant District Attorney
- Chief Investigator
- Deputy District Attorney I/II/III/IV
- Legal Office Business Manager
- Legal Office Services Manager
- Program Coordinator
- Consultants

**Disclosure Category:**
- Assistant District Attorney: 1,2,3,4,5,6,7
- Chief Investigator: 3,4,5,6,7
- Deputy District Attorney I/II/III/IV: 1,2,3,4,5,6,7
- Legal Office Business Manager: 3,4,5,6,7
- Legal Office Services Manager: 3,4,5,6,7
- Program Coordinator: 3,4,5,6,7
- Consultants: 1,2,3,4,5,6,7

# HUMAN RESOURCES

**Designated Positions:**
- Assistant Director of Human Resources
- Director of Human Resources
- Human Resources Analyst I/II
- Human Resources Analyst - Risk I/II
- Human Resources Project Manager
- Risk Manager
- Senior Human Resources Analyst - Risk
- Consultants

**Disclosure Category:**
- Assistant Director of Human Resources: 3,4,5,6,7
- Director of Human Resources: 1,2,3,4,5,6,7
- Human Resources Analyst I/II: 3,4,5,6,7
- Human Resources Analyst - Risk I/II: 3,4,5,6,7
- Human Resources Project Manager: 1,2,3,4,5,6,7
- Risk Manager: 3,4,5,6,7
- Senior Human Resources Analyst - Risk: 3,4,5,6,7
- Consultants: 1,2,3,4,5,6,7

# LIBRARY

**Designated Positions:**
- Director of Library Services

**Disclosure Category:**
- Director of Library Services: 1,2,4,5,6,7
Consultants

PLANNING AND BUILDING DEPARTMENT

Designated Positions:
Business Manager
Chief Building Official
Development Assistance Manager
Director of Planning and Building
Deputy Director
Economic Development Coordinator
Housing and Community Specialist
Planner I/II
Senior Planner
Supervising Planner
Consultants

Disclosure Category:
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,7
1,2,3,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7

PROBATION

Designated Positions:
Assistant Chief Probation Officer
Chief Probation Officer
Division Director
Facilities Manager
Legal Office Business Manager
Consultants

Disclosure Category:
3,4,5,6,7
1,2,3,4,5,6,7
3,4,5,6,7
3,4,5,6,7
3,4,5,6,7
1,2,3,4,5,6,7

PUBLIC DEFENDER

Designated Positions:
Deputy Public Defender I/II/III/IV
Public Defender
Consultants

Disclosure Category:
4,5,6
1,2,4,5,6
1,2,3,4,5,6,7

PUBLIC WORKS

Designated Positions:
Associate Civil Engineer
Associate Engineer
Associate Engineer/ Land Use
Business Manager
Construction Projects Manager
County Surveyor
Deputy Director/ Engineering
Deputy Director/Environmental Services
Deputy Director/Land Use
Deputy Director/ Roads

Disclosure Category:
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
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1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
1,2,3,4,5,6,7
Deputy Public Works Director-Facilities Management 1, 2, 3, 4, 5, 6, 7
Deputy Director/ General Services 1, 2, 3, 4, 5, 6, 7
Director 1, 2, 3, 4, 5, 6, 7
Facility Maintenance Manager 1, 2, 3, 4, 5, 6, 7
Facility Maintenance Supervisor 1, 2, 3, 4, 5, 6, 7
Planner I/II 1, 2, 3, 4, 5, 6, 7
Real Property Agent I/II 1, 2, 3, 4, 5, 6, 7
Road Superintendent 1, 2, 3, 4, 5, 6, 7
Senior Planner 1, 2, 3, 4, 5, 6, 7
Senior Real Property Agent 1, 2, 3, 4, 5, 6, 7
Consultants 1, 2, 3, 4, 5, 6, 7

SHERIFF

Designated Positions:
Administrative Services Officer
Animal Control Facilities Manager
Animal Control Officer
Correctional Captain
Correctional Lieutenant
Correctional Supervisor
Deputy Director Sheriff Administration
Emergency Communications Supervisor
Emergency Services Manager
Kitchen Laundry Supervisor
Legal Office Services Supervisor
Program Coordinator
Sheriff
Sheriff’s Captain
Sheriff’s Lieutenant
Training Coordinator
Undersheriff
Consultants

Disclosure Category:
3, 4, 5, 6, 7
3, 4, 5, 6, 7
3, 4, 5, 6, 7
1, 2, 3, 4, 5, 6, 7
3, 4, 5, 6, 7
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1, 2, 3, 4, 5, 6, 7
3, 4, 5, 6, 7
1, 2, 3, 4, 5, 6, 7
1, 2, 3, 4, 5, 6, 7

TREASURER-TAX COLLECTOR

Designated Positions:
Consultants

Disclosure Category:
1, 2, 3, 4, 5, 6, 7

The treasurer and all County officials who manage the investment of public funds are included in and governed by this Conflict of Interest Code only with respect to its disqualification provisions. For purposes of disclosure, the Treasurer and all County officials who manage the investment of public funds are governed by the statutory conflict of interest provisions of Article 2 of Chapter 7 of the Political Reform act of 1974. (Government Code Section 87200 et. Seq.)
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<th>ENTITY</th>
<th>RESPONSIBLE PARTY/DEPARTMENT</th>
<th>REPORTING CATEGORY</th>
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<tr>
<td>Aviation Advisory Committee</td>
<td>Clerk of the Board</td>
<td>3,4,5,6,7</td>
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<tr>
<td>Assessment Appeals Board</td>
<td>Clerk of the Board</td>
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<tr>
<td>Behavioral Health Board</td>
<td>D.H.H.S./Mental Health</td>
<td>6,7</td>
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<tr>
<td>Big Lagoon Subdivision Design Review</td>
<td>Planning and Building Department</td>
<td>2,3,6,7</td>
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<tr>
<td>Children &amp; Families First Commission Executive Director</td>
<td>First Five</td>
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<td>Children &amp; Families First Commission Executive Secretary</td>
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<td>Citizens' Advisory Committee on Measure Z Expenditures</td>
<td>Clerk of the Board</td>
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<td>County Community Corrections Partnership (CCP)</td>
<td>Probation</td>
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<td>County Community Corrections Partnership (CCP) - Executive Committee</td>
<td>Probation</td>
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<td>County of Humboldt Extraction Review Team (CHERT)</td>
<td>Planning and Building Department</td>
<td>1,2,3,4,5,6,7</td>
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<td>Fire Safe Council</td>
<td>Public Works</td>
<td>3,4,5,6,7</td>
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<td>Fish &amp; Game Advisory Commission</td>
<td>Clerk of the Board</td>
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<td>Forestry Review Committee</td>
<td>Planning and Building Department</td>
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<td>Humboldt - Del Norte Hazardous Materials Response Authority</td>
<td>Committee Secretary, [D.H.H.S./ Environmental Health]</td>
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<td>Headwaters Fund Board &amp; Staff</td>
<td>County Administrative Office</td>
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<td>Housing Authority Commission</td>
<td>Clerk of the Board</td>
<td>2,3,6,7</td>
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<td>Housing Trust Fund &amp; Homelessness Solution Committee</td>
<td>Clerk of the Board</td>
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<td>Human Rights Commission</td>
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<td>Humboldt County Grand Jury</td>
<td>Elections</td>
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<td>Indian Gaming Local Community Benefit Committee</td>
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<td>D.H.H.S./Public Health</td>
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<tr>
<td>Juvenile Justice &amp; Delinquency Prevention Commission</td>
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<td>LAFCO</td>
<td>Plan West</td>
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<td>McKinleyville Municipal Advisory Committee</td>
<td>Clerk of the Board</td>
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<td>Orick Design Control Committee</td>
<td>Planning and Building Department</td>
<td>2,3,6,7</td>
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<td>Organization</td>
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<td>Public Authority for In-Home Supportive Services</td>
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<td>Public Property Leasing Corporation</td>
<td>Treasurer/Tax Collector</td>
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<td>Tobacco Education Network</td>
<td>Committee Secretary [D.H.H.S./Health</td>
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<td>Department]</td>
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<td>Planning and Building Department</td>
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<tr>
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<td>County Administrative Office</td>
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