



Humboldt Co. Treasurer's Pool
Portfolio Management
Portfolio Summary
March 31, 2014

Humboldt County
 825 Fifth Street
 Eureka, CA 95501
 (707) 476-2450

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Certificates of Deposit	59,464,000.00	59,464,000.00	59,464,000.00	19.16	561	197	0.573	0.581
Money Markets	73,706,249.80	73,706,249.80	73,706,249.80	23.74	1	1	0.237	0.240
Federal Agency Coupon Securities	177,280,000.00	176,112,350.86	177,260,517.83	57.10	1,667	1,347	1.027	1.041
Pass Through Securities (GNMA/CMO)	2,115.11	2,253.15	2,082.70	0.00	3,207	1,107	8.364	8.480
Investments	310,452,364.91	309,284,853.81	310,432,850.33	100.00%	1,060	807	0.752	0.763

Total Earnings	March 31	Month Ending
Current Year	186,449.56	
Average Daily Balance	296,967,859.58	
Effective Rate of Return	0.74%	

John Bartholomew, Treasurer - Tax Collector

Reporting period 03/01/2014-03/31/2014

Run Date: 05/28/2014 - 15:22

No fiscal year history available

Portfolio INVT
 RC
 PM (PRF_PM1) 7.3.0
 Report Ver. 7.3.5

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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Certificates of Deposit												
02006LBN2	10757	Ally Bank		10/23/2013	248,000.00	248,000.00	248,000.00	0.800	0.800	570	10/23/2015	
06062ACA5	10776	BANK OF BARODA		12/13/2013	248,000.00	248,000.00	248,000.00	1.000	1.000	987	12/13/2016	
05568P6H5	10775	BMW BANK		12/11/2013	248,000.00	248,000.00	248,000.00	0.900	0.900	804	06/13/2016	
20451PFW1	10755	COMPASS BANK		10/23/2013	248,000.00	248,000.00	248,000.00	0.750	0.750	570	10/23/2015	
20033ADS2	10772	COMENITY CAPITAL BANK		11/25/2013	248,000.00	248,000.00	248,000.00	0.600	0.600	603	11/25/2015	
254671YR5	10753	Discover Bank		10/23/2013	248,000.00	248,000.00	248,000.00	0.800	0.800	570	10/23/2015	
320055BD7	10773	FIRST CREDIT BANK		11/25/2013	248,000.00	248,000.00	248,000.00	0.750	0.750	877	08/25/2016	
33648FJL0	10787	First State Bank WV		02/12/2014	248,000.00	248,000.00	248,000.00	1.650	1.650	1,778	02/12/2019	
36163CFZ8	10749	GE CAPITAL BANK		10/18/2013	248,000.00	248,000.00	248,000.00	0.750	0.750	566	10/19/2015	
36157PTM1	10767	GE Captial Retail Bank		11/15/2013	248,000.00	248,000.00	248,000.00	1.100	1.100	959	11/15/2016	
38147JPG1	10770	GOLDMAN SACHS BANK USA		11/20/2013	248,000.00	248,000.00	248,000.00	1.000	1.000	965	11/21/2016	
49306SSU1	10756	Key Bank NA		10/23/2013	248,000.00	248,000.00	248,000.00	0.600	0.600	570	10/23/2015	
6288255JH5	10786	NCB BANK		02/11/2014	248,000.00	248,000.00	248,000.00	1.650	1.650	1,777	02/11/2019	
101165861	10642	North Valley Bank		09/19/2012	10,000,000.00	10,000,000.00	10,000,000.00	0.500	0.500	171	09/19/2014	
101166461	10648	North Valley Bank		11/08/2012	5,000,000.00	5,000,000.00	5,000,000.00	0.500	0.500	221	11/08/2014	
72651LAF1	10762	Plains Commerce Bank		10/30/2013	248,000.00	248,000.00	248,000.00	0.550	0.550	577	10/30/2015	
78658ANK0	10763	Safra National Bank of NY		10/31/2013	248,000.00	248,000.00	248,000.00	0.700	0.700	580	11/02/2015	
795450QX6	10761	Sallie Mae Bank		10/30/2013	248,000.00	248,000.00	248,000.00	0.850	0.850	577	10/30/2015	
89387W6D2	10769	TAB BANK INC		11/20/2013	248,000.00	248,000.00	248,000.00	0.550	0.550	598	11/20/2015	
872278BC9	10754	TCF NATIONAL BANK		10/23/2013	248,000.00	248,000.00	248,000.00	0.550	0.550	570	10/23/2015	
991231325	10661	Umpqua Bank		12/07/2012	10,000,000.00	10,000,000.00	10,000,000.00	0.500	0.500	250	12/07/2014	
991321416	10714	Umpqua Bank		06/28/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600	0.608	87	06/27/2014	
991231291B	10718	Umpqua Bank		06/28/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600	0.608	87	06/27/2014	
991231150B	10731	Umpqua Bank		07/14/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600	0.600	104	07/14/2014	
Subtotal and Average			59,464,000.00		59,464,000.00	59,464,000.00	59,464,000.00		0.581	197		
Money Markets												
6002000	10106	CAMP			447.74	447.74	447.74	0.080	0.080	1		
9912000	10060	LAIF			31,645,838.04	31,645,838.04	31,645,838.04	0.240	0.240	1		
7512003	10084	LAIF			42,059,964.02	42,059,964.02	42,059,964.02	0.240	0.240	1		
Subtotal and Average			56,770,748.84		73,706,249.80	73,706,249.80	73,706,249.80		0.240	1		
Federal Agency Coupon Securities												
3133EAR26	10639	Federal Farm Credit Bank		09/12/2012	2,000,000.00	1,992,650.00	2,000,000.00	0.970	0.970	1,253	09/05/2017	
3133EAX29	10641	Federal Farm Credit Bank		09/18/2012	2,000,000.00	1,990,584.00	2,000,000.00	0.980	0.980	1,266	09/18/2017	
3133EC2M5	10650	Federal Farm Credit Bank		11/13/2012	2,000,000.00	1,977,356.00	2,000,000.00	0.940	0.940	1,322	11/13/2017	

Portfolio INVT
RC
PM (PRF_PM2) 7.3.0

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Federal Agency Coupon Securities												
3133ECB45	10664	Federal Farm Credit Bank		12/26/2012	2,000,000.00	1,982,254.00	2,000,000.00	0.900		0.900	1,365	12/26/2017
3133ECL44	10683	Federal Farm Credit Bank		04/11/2013	2,000,000.00	1,975,404.00	2,000,000.00	1.000		1.000	1,471	04/11/2018
3133ECMF8	10684	Federal Farm Credit Bank		04/24/2013	2,000,000.00	1,973,740.00	2,000,000.00	0.980		0.980	1,484	04/24/2018
3133ECMF8	10685	Federal Farm Credit Bank		04/24/2013	2,000,000.00	1,973,740.00	2,000,000.00	0.980		0.980	1,484	04/24/2018
3133ECNY6	10694	Federal Farm Credit Bank		05/08/2013	2,000,000.00	1,965,958.00	2,000,000.00	0.950		0.950	1,498	05/08/2018
3133ECNY6	10695	Federal Farm Credit Bank		05/08/2013	2,000,000.00	1,965,958.00	2,000,000.00	0.950		0.950	1,498	05/08/2018
3133ECQW7	10705	Federal Farm Credit Bank		06/04/2013	2,000,000.00	1,968,780.00	1,998,200.00	1.250		1.271	1,525	06/04/2018
3133ECQW7	10706	Federal Farm Credit Bank		06/04/2013	2,000,000.00	1,968,780.00	2,000,000.00	1.250		1.250	1,525	06/04/2018
3133ECUT9	10725	Federal Farm Credit Bank		07/18/2013	2,000,000.00	2,007,642.00	2,000,000.00	0.750		0.750	839	07/18/2016
3133ECYG3	10740	Federal Farm Credit Bank		08/28/2013	2,000,000.00	2,014,634.00	2,000,000.00	2.125		2.125	1,610	08/28/2018
3133ECYG3	10741	Federal Farm Credit Bank		08/28/2013	2,000,000.00	2,014,634.00	2,000,000.00	2.125		2.125	1,610	08/28/2018
313380MF1	10640	Federal Home Loan Bank		09/18/2012	2,000,000.00	1,983,262.00	2,000,000.00	1.000		1.000	1,266	09/18/2017
313380Z34	10645	Federal Home Loan Bank		10/23/2012	2,000,000.00	1,980,818.00	2,000,000.00	0.900		0.900	1,301	10/23/2017
3133814N2	10646	Federal Home Loan Bank		11/01/2012	2,000,000.00	1,982,318.00	2,000,000.00	0.950		0.950	1,310	11/01/2017
3133813R4	10649	Federal Home Loan Bank		11/09/2012	2,000,000.00	1,977,364.00	2,000,000.00	1.000		1.000	1,318	11/09/2017
313381LC7	10669	Federal Home Loan Bank		12/28/2012	5,000,000.00	4,934,225.00	5,000,000.00	0.950		0.950	1,367	12/28/2017
313381LC7	10670	Federal Home Loan Bank		12/28/2012	2,000,000.00	1,973,690.00	2,000,000.00	0.950		0.950	1,367	12/28/2017
3133823A9	10681	Federal Home Loan Bank		02/22/2013	2,000,000.00	1,980,772.00	2,000,000.00	1.150	AAA	1.150	1,423	02/22/2018
313382RP0	10686	Federal Home Loan Bank		04/30/2013	2,000,000.00	1,982,266.00	2,000,000.00	0.750		1.065	1,490	04/30/2018
313382W90	10699	Federal Home Loan Bank		05/16/2013	2,000,000.00	1,982,946.00	2,000,000.00	0.700		1.053	1,506	05/16/2018
313383G88	10707	Federal Home Loan Bank		06/18/2013	2,000,000.00	1,970,584.00	2,000,000.00	1.100		1.100	1,539	06/18/2018
3130A0B90	10768	Federal Home Loan Bank		11/20/2013	2,000,000.00	2,003,358.00	2,000,000.00	1.200		1.200	1,329	11/20/2017
3130A0E89	10777	Federal Home Loan Bank		12/17/2013	2,000,000.00	2,006,628.00	2,000,000.00	1.740		1.740	1,721	12/17/2018
3130A0FN5	10783	Federal Home Loan Bank		12/26/2013	2,000,000.00	1,997,402.00	2,000,000.00	0.500		1.911	1,700	11/26/2018
3130A0YX2	10785	Federal Home Loan Bank		02/26/2014	2,000,000.00	2,000,000.00	2,000,000.00	0.500		2.083	1,792	02/26/2019
3134G3K82	10643	Federal Home Loan Mtg Corp		09/27/2012	2,260,000.00	2,254,311.58	2,260,000.00	0.750		0.750	1,091	03/27/2017
3134G32S8	10666	Federal Home Loan Mtg Corp		12/27/2012	2,000,000.00	1,981,506.00	2,000,000.00	0.750		0.750	1,183	06/27/2017
3134G33Y4	10671	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,974,364.00	2,000,000.00	0.950		0.950	1,392	01/22/2018
3134G33Y4	10672	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,974,364.00	2,000,000.00	0.950		0.950	1,392	01/22/2018
3134G33B4	10673	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,976,960.00	2,000,000.00	1.000		1.006	1,381	01/11/2018
3134G37A2	10682	Federal Home Loan Mtg Corp		04/02/2013	2,000,000.00	1,971,410.00	2,000,000.00	1.125		1.125	1,462	04/02/2018
3134G42G2	10689	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,964,060.00	2,000,000.00	1.050		1.050	1,490	04/30/2018
3134G42G2	10690	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,964,060.00	2,000,000.00	1.050		1.050	1,490	04/30/2018
3134G43F3	10691	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,962,652.00	2,000,000.00	1.020		1.020	1,490	04/30/2018
3134G43F3	10692	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,962,652.00	2,000,000.00	1.020		1.020	1,490	04/30/2018
3134G43F3	10693	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,962,652.00	1,999,100.00	1.020		1.030	1,490	04/30/2018

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Federal Agency Coupon Securities												
3134G44E5	10701	Federal Home Loan Mtg Corp		05/22/2013	3,000,000.00	2,944,860.00	3,000,000.00	0.950		0.950	1,512	05/22/2018
3134G47M4	10708	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,996,628.00	2,000,000.00	1.500		1.500	1,547	06/26/2018
3134G47M4	10709	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,996,628.00	2,000,000.00	1.500		1.500	1,547	06/26/2018
3134G47G7	10711	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,982,574.00	2,000,000.00	1.400		0.000	1,547	06/26/2018
3137EADS5	10739	Federal Home Loan Mtg Corp		08/22/2013	2,000,000.00	2,014,358.00	2,000,000.00	0.875		0.754	927	10/14/2016
3134G3S50	10748	Federal Home Loan Mtg Corp		10/03/2013	2,000,000.00	1,998,156.00	1,992,202.17	0.625		0.757	945	11/01/2016
3134G4HT8	10760	Federal Home Loan Mtg Corp		10/30/2013	2,000,000.00	2,002,174.00	2,000,000.00	1.000		1.000	1,035	01/30/2017
3134G4M39	10774	Federal Home Loan Mtg Corp		12/10/2013	2,000,000.00	2,003,350.00	2,000,000.00	0.875		1.866	1,714	12/10/2018
3134G4PB8	10778	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	1,999,894.00	2,000,000.00	1.000		1.000	1,183	06/27/2017
3134G4PB8	10779	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	1,999,894.00	2,000,000.00	1.000		1.000	1,183	06/27/2017
3134G4QE1	10780	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	2,004,804.00	2,000,000.00	1.250		1.839	1,731	12/27/2018
3134G4NZ7	10781	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	2,007,818.00	2,000,000.00	1.500		1.887	1,731	12/27/2018
3134G4QY7	10782	Federal Home Loan Mtg Corp		12/30/2013	2,000,000.00	2,001,166.00	2,000,000.00	0.750		0.750	1,004	12/30/2016
3134G4SH2	10784	Federal Home Loan Mtg Corp		01/07/2014	2,000,000.00	2,002,568.00	2,000,000.00	0.600		0.600	828	07/07/2016
3134G4Z35	10788	Federal Home Loan Mtg Corp		03/26/2014	1,000,000.00	998,500.00	998,500.00	0.600		0.661	909	09/26/2016
3136G04F5	10653	Federal National Mtg Assn		11/21/2012	2,000,000.00	1,997,480.00	2,000,000.00	0.750		1.120	1,330	11/21/2017
3136G04S7	10656	Federal National Mtg Assn		11/21/2012	2,000,000.00	1,996,148.00	2,000,000.00	0.750		0.750	1,057	02/21/2017
3136G04U2	10657	Federal National Mtg Assn		11/29/2012	2,000,000.00	1,983,898.00	2,000,000.00	1.000		1.000	1,338	11/29/2017
3136G07M7	10658	Federal National Mtg Assn		11/30/2012	2,000,000.00	1,976,274.00	2,000,000.00	0.900		0.900	1,336	11/27/2017
3136G05S6	10659	Federal National Mtg Assn		11/29/2012	2,000,000.00	1,978,824.00	2,000,000.00	0.900		0.904	1,247	08/30/2017
3136G06Z9	10662	Federal National Mtg Assn		12/13/2012	2,000,000.00	1,993,396.00	2,000,000.00	0.650		0.650	987	12/13/2016
3136G13W7	10663	Federal National Mtg Assn		12/19/2012	2,000,000.00	1,981,484.00	2,000,000.00	0.800		0.800	1,175	06/19/2017
3136G14Q9	10665	Federal National Mtg Assn		12/26/2012	2,000,000.00	1,980,578.00	2,000,000.00	0.750		0.947	1,365	12/26/2017
3136G15G0	10667	Federal National Mtg Assn		12/27/2012	2,000,000.00	1,989,452.00	2,000,000.00	0.500		0.776	1,183	06/27/2017
3135G0SR5	10668	Federal National Mtg Assn		12/27/2012	2,000,000.00	1,998,130.00	2,000,000.00	0.560		0.560	818	06/27/2016
3136G1B32	10674	Federal National Mtg Assn		01/30/2013	2,000,000.00	1,985,172.00	2,000,000.00	0.700		1.141	1,400	01/30/2018
3136G1B32	10675	Federal National Mtg Assn		01/30/2013	2,000,000.00	1,985,172.00	2,000,000.00	0.700		1.141	1,400	01/30/2018
3136G1KD0	10687	Federal National Mtg Assn		04/30/2013	2,000,000.00	1,967,530.00	2,000,000.00	1.000		1.000	1,490	04/30/2018
3136G1LB3	10688	Federal National Mtg Assn		04/30/2013	2,000,000.00	1,976,810.00	2,000,000.00	0.700		1.156	1,490	04/30/2018
3136G1LE7	10696	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,962,146.00	2,000,000.00	1.010		1.010	1,505	05/15/2018
3136G1KV0	10697	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,979,906.00	2,000,000.00	0.750		1.105	1,505	05/15/2018
3136G1KV0	10698	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,979,906.00	2,000,000.00	0.750		1.105	1,505	05/15/2018
3135G0XD0	10700	Federal National Mtg Assn		05/21/2013	2,000,000.00	1,965,830.00	2,000,000.00	1.000		1.000	1,511	05/21/2018
3136G1M63	10702	Federal National Mtg Assn		05/22/2013	2,000,000.00	1,976,978.00	2,000,000.00	0.750		1.141	1,512	05/22/2018
3135G0XK4	10703	Federal National Mtg Assn		05/30/2013	2,000,000.00	1,976,484.00	2,000,000.00	1.050		1.050	1,515	05/25/2018
3135G0XK4	10704	Federal National Mtg Assn		05/30/2013	2,000,000.00	1,976,484.00	2,000,000.00	1.050		1.050	1,515	05/25/2018

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Federal Agency Coupon Securities												
3135G0XP3	10712	Federal National Mtg Assn		06/28/2013	2,000,000.00	1,991,850.00	1,982,463.66	0.375		0.730	826	07/05/2016
3136G1PD5	10719	Federal National Mtg Assn		07/10/2013	2,000,000.00	2,007,386.00	2,000,000.00	1.700		0.000	1,561	07/10/2018
3136G1PD5	10720	Federal National Mtg Assn		07/10/2013	2,000,000.00	2,007,386.00	2,000,000.00	1.700		0.000	1,561	07/10/2018
3136G1PT0	10726	Federal National Mtg Assn		07/18/2013	2,000,000.00	2,006,912.00	2,000,000.00	1.125		1.883	1,569	07/18/2018
3136G1RK7	10732	Federal National Mtg Assn		07/29/2013	2,000,000.00	2,001,672.00	2,000,000.00	0.800		0.800	850	07/29/2016
3135G0CM3	10743	Federal National Mtg Assn		09/06/2013	2,000,000.00	2,031,498.00	2,008,820.33	1.250		1.070	911	09/28/2016
3135G0UC5	10752	Federal National Mtg Assn		10/22/2013	2,000,000.00	2,002,220.00	2,000,000.00	1.000		1.000	1,049	02/13/2017
3135G0YE7	10758	Federal National Mtg Assn		10/23/2013	2,000,000.00	2,001,914.00	2,001,231.67	0.625		0.600	878	08/26/2016
3136G1WD7	10759	Federal National Mtg Assn		10/24/2013	2,000,000.00	2,001,840.00	2,000,000.00	0.900		0.900	937	10/24/2016
3136G1X87	10764	Federal National Mtg Assn		10/31/2013	2,000,000.00	2,000,228.00	2,000,000.00	0.850		0.850	1,077	03/13/2017
3136G1X87	10765	Federal National Mtg Assn		10/31/2013	2,020,000.00	2,020,230.28	2,020,000.00	0.850		0.850	1,077	03/13/2017
3136G1X38	10766	Federal National Mtg Assn		11/08/2013	2,000,000.00	2,001,022.00	2,000,000.00	0.750		1.649	1,498	05/08/2018
Subtotal and Average			180,731,005.88		177,280,000.00	176,112,350.86	177,260,517.83			1.041	1,347	
Pass Through Securities (GNMA/CMO)												
36218F3L0	10147	Govt Nat. Mort. Assc.		07/01/2008	2,109.38	2,247.42	2,077.08	8.000	AAA	8.468	1,110	04/15/2017
36217TRS0	10151	Govt Nat. Mort. Assc.		07/01/2008	0.00	0.00	0.00	8.000	AAA	8.672	0	04/15/2017
36214VCM7	10154	Govt Nat. Mort. Assc.		07/01/2008	5.73	5.73	5.62	12.000	AAA	12.854	105	07/15/2014
Subtotal and Average			2,104.86		2,115.11	2,253.15	2,082.70			8.480	1,107	
Total and Average			296,967,859.58		310,452,364.91	309,284,853.81	310,432,850.33			0.763	807	

Fiscal Year 2013-2014
3rd Quarter

Humboldt Co. Treasurer
Investment Pool
Value by Type

Humboldt County
825 Fifth Street
Eureka, CA 95501
(707) 476-2450

