



**Humboldt Co. Treasurer's Pool**  
**Portfolio Management**  
**Portfolio Summary**  
**December 31, 2013**

Humboldt County  
 825 Fifth Street  
 Eureka, CA 95501  
 (707) 476-2450

<b>Investments</b>	<b>Par Value</b>	<b>Market Value</b>	<b>Book Value</b>	<b>% of Portfolio</b>	<b>Term</b>	<b>Days to Maturity</b>	<b>YTM 360 Equiv.</b>	<b>YTM 365 Equiv.</b>
Certificates of Deposit	58,968,000.00	58,968,000.00	58,968,000.00	17.98	551	273	0.564	0.572
Money Markets	87,167,736.88	87,167,736.88	87,167,736.88	26.58	1	1	0.235	0.238
Federal Agency Coupon Securities	181,780,000.00	180,513,465.75	181,766,255.45	55.43	1,664	1,415	1.031	1.045
Pass Through Securities (GNMA/CMO)	2,299.33	2,472.59	2,263.93	0.00	3,192	1,182	8.430	8.547
<b>Investments</b>	<b>327,918,036.21</b>	<b>326,651,675.22</b>	<b>327,904,256.26</b>	<b>100.00%</b>	<b>1,022</b>	<b>834</b>	<b>0.735</b>	<b>0.745</b>

<b>Total Earnings</b>	<b>December 31</b>	<b>Month Ending</b>
Current Year		192,917.64
<b>Average Daily Balance</b>		<b>307,502,208.68</b>
<b>Effective Rate of Return</b>		<b>0.74%</b>

John Bartholomew, Treasurer - Tax Collector

Reporting period 12/01/2013-12/31/2013

Run Date: 04/21/2014 - 10:32

No fiscal year history available

Portfolio INVT  
 RC  
 PM (PRF\_PM1) 7.3.0  
 Report Ver. 7.3.5

**Humboldt Co. Treasurer's Pool  
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CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
<b>Certificates of Deposit</b>												
02006LBN2	10757	Ally Bank		10/23/2013	248,000.00	248,000.00	248,000.00	0.800		0.800	660	10/23/2015
06062ACA5	10776	BANK OF BARODA		12/13/2013	248,000.00	248,000.00	248,000.00	1.000		1.000	1,077	12/13/2016
05568P6H5	10775	BMW BANK		12/11/2013	248,000.00	248,000.00	248,000.00	0.900		0.900	894	06/13/2016
20451PFW1	10755	COMPASS BANK		10/23/2013	248,000.00	248,000.00	248,000.00	0.750		0.750	660	10/23/2015
20033ADS2	10772	COMENITY CAPITAL BANK		11/25/2013	248,000.00	248,000.00	248,000.00	0.600		0.600	693	11/25/2015
254671YR5	10753	Discover Bank		10/23/2013	248,000.00	248,000.00	248,000.00	0.800		0.800	660	10/23/2015
320055BD7	10773	FIRST CREDIT BANK		11/25/2013	248,000.00	248,000.00	248,000.00	0.750		0.750	967	08/25/2016
36163CFZ8	10749	GE CAPITAL BANK		10/18/2013	248,000.00	248,000.00	248,000.00	0.750		0.750	656	10/19/2015
36157PTM1	10767	GE Captial Retail Bank		11/15/2013	248,000.00	248,000.00	248,000.00	1.100		1.100	1,049	11/15/2016
38147JPG1	10770	GOLDMAN SACHS BANK USA		11/20/2013	248,000.00	248,000.00	248,000.00	1.000		1.000	1,055	11/21/2016
49306SSU1	10756	Key Bank NA		10/23/2013	248,000.00	248,000.00	248,000.00	0.600		0.600	660	10/23/2015
101165861	10642	North Valley Bank		09/19/2012	10,000,000.00	10,000,000.00	10,000,000.00	0.500		0.500	261	09/19/2014
101166461	10648	North Valley Bank		11/08/2012	5,000,000.00	5,000,000.00	5,000,000.00	0.500		0.500	311	11/08/2014
72651LAF1	10762	Plains Commerce Bank		10/30/2013	248,000.00	248,000.00	248,000.00	0.550		0.550	667	10/30/2015
78658ANK0	10763	Safra National Bank of NY		10/31/2013	248,000.00	248,000.00	248,000.00	0.700		0.700	670	11/02/2015
795450QX6	10761	Sallie Mae Bank		10/30/2013	248,000.00	248,000.00	248,000.00	0.850		0.850	667	10/30/2015
89387W6D2	10769	TAB BANK INC		11/20/2013	248,000.00	248,000.00	248,000.00	0.550		0.550	688	11/20/2015
872278BC9	10754	TCF NATIONAL BANK		10/23/2013	248,000.00	248,000.00	248,000.00	0.550		0.550	660	10/23/2015
991231325	10661	Umpqua Bank		12/07/2012	10,000,000.00	10,000,000.00	10,000,000.00	0.500		0.500	340	12/07/2014
991321416	10714	Umpqua Bank		06/28/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600		0.608	177	06/27/2014
991231291B	10718	Umpqua Bank		06/28/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600		0.608	177	06/27/2014
991231150B	10731	Umpqua Bank		07/14/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600		0.600	194	07/14/2014
<b>Subtotal and Average</b>			<b>58,792,000.00</b>		<b>58,968,000.00</b>	<b>58,968,000.00</b>	<b>58,968,000.00</b>			<b>0.572</b>	<b>273</b>	
<b>Money Markets</b>												
6002000	10106	CAMP			1,000,288.88	1,000,288.88	1,000,288.88	0.080		0.080	1	
9912000	10060	LAIF			44,135,490.47	44,135,490.47	44,135,490.47	0.240		0.240	1	
7512003	10084	LAIF			42,031,957.53	42,031,957.53	42,031,957.53	0.240		0.240	1	
<b>Subtotal and Average</b>			<b>77,006,415.86</b>		<b>87,167,736.88</b>	<b>87,167,736.88</b>	<b>87,167,736.88</b>			<b>0.238</b>	<b>1</b>	
<b>Federal Agency Coupon Securities</b>												
3133EAR26	10639	Federal Farm Credit Bank		09/12/2012	2,000,000.00	1,989,156.00	2,000,000.00	0.970		0.970	1,343	09/05/2017
3133EAX29	10641	Federal Farm Credit Bank		09/18/2012	2,000,000.00	1,986,244.00	2,000,000.00	0.980		0.980	1,356	09/18/2017
3133EC2M5	10650	Federal Farm Credit Bank		11/13/2012	2,000,000.00	1,968,792.00	2,000,000.00	0.940		0.940	1,412	11/13/2017
3133ECB45	10664	Federal Farm Credit Bank		12/26/2012	2,000,000.00	1,972,748.00	2,000,000.00	0.900		0.900	1,455	12/26/2017
3133ECL44	10683	Federal Farm Credit Bank		04/11/2013	2,000,000.00	1,966,006.00	2,000,000.00	1.000		1.000	1,561	04/11/2018

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<b>Federal Agency Coupon Securities</b>												
3133ECMF8	10684	Federal Farm Credit Bank		04/24/2013	2,000,000.00	1,964,586.00	2,000,000.00	0.980		0.980	1,574	04/24/2018
3133ECMF8	10685	Federal Farm Credit Bank		04/24/2013	2,000,000.00	1,964,586.00	2,000,000.00	0.980		0.980	1,574	04/24/2018
3133ECNY6	10694	Federal Farm Credit Bank		05/08/2013	2,000,000.00	1,956,446.00	2,000,000.00	0.950		0.950	1,588	05/08/2018
3133ECNY6	10695	Federal Farm Credit Bank		05/08/2013	2,000,000.00	1,956,446.00	2,000,000.00	0.950		0.950	1,588	05/08/2018
3133ECQW7	10705	Federal Farm Credit Bank		06/04/2013	2,000,000.00	1,960,136.00	1,998,200.00	1.250		1.271	1,615	06/04/2018
3133ECQW7	10706	Federal Farm Credit Bank		06/04/2013	2,000,000.00	1,960,136.00	2,000,000.00	1.250		1.250	1,615	06/04/2018
3133ECUT9	10725	Federal Farm Credit Bank		07/18/2013	2,000,000.00	2,012,680.00	2,000,000.00	0.750		0.750	929	07/18/2016
3133ECYG3	10740	Federal Farm Credit Bank		08/28/2013	2,000,000.00	2,018,610.00	2,000,000.00	2.125		2.125	1,700	08/28/2018
3133ECYG3	10741	Federal Farm Credit Bank		08/28/2013	2,000,000.00	2,018,610.00	2,000,000.00	2.125		2.125	1,700	08/28/2018
313380MF1	10640	Federal Home Loan Bank		09/18/2012	2,000,000.00	1,985,052.00	2,000,000.00	1.000		1.000	1,356	09/18/2017
313380Z34	10645	Federal Home Loan Bank		10/23/2012	2,000,000.00	1,982,036.00	2,000,000.00	0.900		0.900	1,391	10/23/2017
3133814N2	10646	Federal Home Loan Bank		11/01/2012	2,000,000.00	1,983,480.00	2,000,000.00	0.950		0.950	1,400	11/01/2017
3133813R4	10649	Federal Home Loan Bank		11/09/2012	2,000,000.00	1,978,220.00	2,000,000.00	1.000		1.000	1,408	11/09/2017
313381LC7	10669	Federal Home Loan Bank		12/28/2012	5,000,000.00	4,936,655.00	5,000,000.00	0.950		0.950	1,457	12/28/2017
313381LC7	10670	Federal Home Loan Bank		12/28/2012	2,000,000.00	1,974,662.00	2,000,000.00	0.950		0.950	1,457	12/28/2017
3133823A9	10681	Federal Home Loan Bank		02/22/2013	2,000,000.00	1,982,416.00	2,000,000.00	1.150	AAA	1.150	1,513	02/22/2018
313382RP0	10686	Federal Home Loan Bank		04/30/2013	2,000,000.00	1,981,302.00	2,000,000.00	0.750		1.065	1,580	04/30/2018
313382W90	10699	Federal Home Loan Bank		05/16/2013	2,000,000.00	1,977,274.00	2,000,000.00	0.700		1.053	1,596	05/16/2018
313383G88	10707	Federal Home Loan Bank		06/18/2013	2,000,000.00	1,969,182.00	2,000,000.00	1.100		1.100	1,629	06/18/2018
3130A0B90	10768	Federal Home Loan Bank		11/20/2013	2,000,000.00	2,006,938.00	2,000,000.00	1.200		1.200	1,419	11/20/2017
3130A0E89	10777	Federal Home Loan Bank		12/17/2013	2,000,000.00	2,000,000.00	2,000,000.00	1.740		1.740	1,811	12/17/2018
3130A0FN5	10783	Federal Home Loan Bank		12/26/2013	2,000,000.00	2,000,000.00	2,000,000.00	0.500		1.911	1,790	11/26/2018
3134G3GY0	10600	Federal Home Loan Mtg Corp		01/13/2012	2,000,000.00	2,001,878.00	2,000,000.00	1.125		1.125	742	01/13/2016
3134G3TM2	10606	Federal Home Loan Mtg Corp		03/28/2012	1,500,000.00	1,505,925.00	1,500,000.00	1.400		1.400	1,182	03/28/2017
3134G3SE1	10608	Federal Home Loan Mtg Corp		03/29/2012	2,000,000.00	2,004,302.00	2,000,000.00	1.000		1.000	810	03/21/2016
3134G3K82	10643	Federal Home Loan Mtg Corp		09/27/2012	2,260,000.00	2,256,381.74	2,260,000.00	0.750		0.750	1,181	03/27/2017
3134G32S8	10666	Federal Home Loan Mtg Corp		12/27/2012	2,000,000.00	1,983,466.00	2,000,000.00	0.750		0.750	1,273	06/27/2017
3134G33Y4	10671	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,975,972.00	2,000,000.00	0.950		0.950	1,482	01/22/2018
3134G33Y4	10672	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,975,972.00	2,000,000.00	0.950		0.950	1,482	01/22/2018
3134G33B4	10673	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,977,928.00	2,000,000.00	1.000		1.006	1,471	01/11/2018
3134G37A2	10682	Federal Home Loan Mtg Corp		04/02/2013	2,000,000.00	1,972,296.00	2,000,000.00	1.125		1.125	1,552	04/02/2018
3134G42G2	10689	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,963,806.00	2,000,000.00	1.050		1.050	1,580	04/30/2018
3134G42G2	10690	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,963,806.00	2,000,000.00	1.050		1.050	1,580	04/30/2018
3134G43F3	10691	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,962,208.00	2,000,000.00	1.020		1.020	1,580	04/30/2018
3134G43F3	10692	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,962,208.00	2,000,000.00	1.020		1.020	1,580	04/30/2018
3134G43F3	10693	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,962,208.00	1,999,100.00	1.020		1.030	1,580	04/30/2018

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<b>Federal Agency Coupon Securities</b>												
3134G44E5	10701	Federal Home Loan Mtg Corp		05/22/2013	3,000,000.00	2,943,632.81	3,000,000.00	0.950		0.950	1,602	05/22/2018
3134G47M4	10708	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,984,826.00	2,000,000.00	1.500		1.500	1,637	06/26/2018
3134G47M4	10709	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,984,826.00	2,000,000.00	1.500		1.500	1,637	06/26/2018
3134G47G7	10711	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,981,322.00	2,000,000.00	1.400		0.000	1,637	06/26/2018
3134G4CM8	10727	Federal Home Loan Mtg Corp		07/24/2013	2,000,000.00	2,002,724.00	2,000,000.00	1.250		1.250	1,119	01/24/2017
3137EADS5	10739	Federal Home Loan Mtg Corp		08/22/2013	2,000,000.00	2,015,752.00	2,000,000.00	0.875		0.754	1,017	10/14/2016
3134G3S50	10748	Federal Home Loan Mtg Corp		10/03/2013	2,000,000.00	1,999,784.00	1,992,202.17	0.625		0.757	1,035	11/01/2016
3134G4HT8	10760	Federal Home Loan Mtg Corp		10/30/2013	2,000,000.00	2,005,134.00	2,000,000.00	1.000		1.000	1,125	01/30/2017
3134G4M39	10774	Federal Home Loan Mtg Corp		12/10/2013	2,000,000.00	2,000,000.00	2,000,000.00	0.875		1.866	1,804	12/10/2018
3134G4PB8	10778	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	2,000,000.00	2,000,000.00	1.000		1.000	1,273	06/27/2017
3134G4PB8	10779	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	2,000,000.00	2,000,000.00	1.000		1.000	1,273	06/27/2017
3134G4QE1	10780	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	2,000,000.00	2,000,000.00	1.250		1.839	1,821	12/27/2018
3134G4NZ7	10781	Federal Home Loan Mtg Corp		12/27/2013	2,000,000.00	2,000,000.00	2,000,000.00	1.500		1.887	1,821	12/27/2018
3134G4QY7	10782	Federal Home Loan Mtg Corp		12/30/2013	2,000,000.00	2,000,000.00	2,000,000.00	0.750		0.750	1,094	12/30/2016
3136G04F5	10653	Federal National Mtg Assn		11/21/2012	2,000,000.00	1,999,690.00	2,000,000.00	0.750		1.120	1,420	11/21/2017
3136G04S7	10656	Federal National Mtg Assn		11/21/2012	2,000,000.00	1,998,490.00	2,000,000.00	0.750		0.750	1,147	02/21/2017
3136G04U2	10657	Federal National Mtg Assn		11/29/2012	2,000,000.00	1,983,772.00	2,000,000.00	1.000		1.000	1,428	11/29/2017
3136G07M7	10658	Federal National Mtg Assn		11/30/2012	2,000,000.00	1,975,634.00	2,000,000.00	0.900		0.900	1,426	11/27/2017
3136G05S6	10659	Federal National Mtg Assn		11/29/2012	2,000,000.00	1,979,190.00	2,000,000.00	0.900		0.904	1,337	08/30/2017
3136G06Z9	10662	Federal National Mtg Assn		12/13/2012	2,000,000.00	1,996,488.00	2,000,000.00	0.650		0.650	1,077	12/13/2016
3136G13W7	10663	Federal National Mtg Assn		12/19/2012	2,000,000.00	1,983,082.00	2,000,000.00	0.800		0.800	1,265	06/19/2017
3136G14Q9	10665	Federal National Mtg Assn		12/26/2012	2,000,000.00	1,979,426.00	2,000,000.00	0.750		0.947	1,455	12/26/2017
3136G15G0	10667	Federal National Mtg Assn		12/27/2012	2,000,000.00	1,989,220.00	2,000,000.00	0.500		0.776	1,273	06/27/2017
3135G0SR5	10668	Federal National Mtg Assn		12/27/2012	2,000,000.00	1,998,306.00	2,000,000.00	0.560		0.560	908	06/27/2016
3136G1B32	10674	Federal National Mtg Assn		01/30/2013	2,000,000.00	1,983,730.00	2,000,000.00	0.700		1.141	1,490	01/30/2018
3136G1B32	10675	Federal National Mtg Assn		01/30/2013	2,000,000.00	1,983,730.00	2,000,000.00	0.700		1.141	1,490	01/30/2018
3136G1KD0	10687	Federal National Mtg Assn		04/30/2013	2,000,000.00	1,970,182.00	2,000,000.00	1.000		1.000	1,580	04/30/2018
3136G1LB3	10688	Federal National Mtg Assn		04/30/2013	2,000,000.00	1,971,764.00	2,000,000.00	0.700		1.156	1,580	04/30/2018
3136G1LE7	10696	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,961,322.00	2,000,000.00	1.010		1.010	1,595	05/15/2018
3136G1KV0	10697	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,973,680.00	2,000,000.00	0.750		1.105	1,595	05/15/2018
3136G1KV0	10698	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,973,680.00	2,000,000.00	0.750		1.105	1,595	05/15/2018
3135G0XD0	10700	Federal National Mtg Assn		05/21/2013	2,000,000.00	1,953,510.00	2,000,000.00	1.000		1.000	1,601	05/21/2018
3136G1M63	10702	Federal National Mtg Assn		05/22/2013	2,000,000.00	1,970,640.00	2,000,000.00	0.750		1.141	1,602	05/22/2018
3135G0XK4	10703	Federal National Mtg Assn		05/30/2013	2,000,000.00	1,972,560.00	2,000,000.00	1.050		1.050	1,605	05/25/2018
3135G0XK4	10704	Federal National Mtg Assn		05/30/2013	2,000,000.00	1,972,560.00	2,000,000.00	1.050		1.050	1,605	05/25/2018
3135G0XP3	10712	Federal National Mtg Assn		06/28/2013	2,000,000.00	1,991,746.00	1,978,956.39	0.375		0.730	916	07/05/2016

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<b>Federal Agency Coupon Securities</b>												
3136G1PD5	10719	Federal National Mtg Assn		07/10/2013	2,000,000.00	2,007,582.00	2,000,000.00	1.700	0.000	1,651	07/10/2018	
3136G1PD5	10720	Federal National Mtg Assn		07/10/2013	2,000,000.00	2,007,582.00	2,000,000.00	1.700	0.000	1,651	07/10/2018	
3136G1PT0	10726	Federal National Mtg Assn		07/18/2013	2,000,000.00	2,009,010.00	2,000,000.00	1.125	1.883	1,659	07/18/2018	
3136G1RK7	10732	Federal National Mtg Assn		07/29/2013	2,000,000.00	2,001,744.00	2,000,000.00	0.800	0.800	940	07/29/2016	
3135G0CM3	10743	Federal National Mtg Assn		09/06/2013	2,000,000.00	2,037,676.00	2,010,584.39	1.250	1.070	1,001	09/28/2016	
3136G1VH9	10744	Federal National Mtg Assn		09/27/2013	2,000,000.00	2,006,050.00	2,000,000.00	1.250	1.250	1,000	09/27/2016	
3135G0UC5	10752	Federal National Mtg Assn		10/22/2013	2,000,000.00	2,006,239.33	2,003,833.33	1.000	1.000	1,139	02/13/2017	
3135G0YE7	10758	Federal National Mtg Assn		10/23/2013	2,000,000.00	2,005,903.17	2,003,379.17	0.625	0.600	968	08/26/2016	
3136G1WD7	10759	Federal National Mtg Assn		10/24/2013	2,000,000.00	2,004,048.00	2,000,000.00	0.900	0.900	1,027	10/24/2016	
3136G1X87	10764	Federal National Mtg Assn		10/31/2013	2,000,000.00	2,002,870.00	2,000,000.00	0.850	0.850	1,167	03/13/2017	
3136G1X87	10765	Federal National Mtg Assn		10/31/2013	2,020,000.00	2,022,898.70	2,020,000.00	0.850	0.850	1,167	03/13/2017	
3136G1X38	10766	Federal National Mtg Assn		11/08/2013	2,000,000.00	2,000,704.00	2,000,000.00	0.750	1.649	1,588	05/08/2018	
<b>Subtotal and Average</b>			<b>171,701,507.20</b>		<b>181,780,000.00</b>	<b>180,513,465.75</b>	<b>181,766,255.45</b>		<b>1.045</b>	<b>1,415</b>		
<b>Pass Through Securities (GNMA/CMO)</b>												
36218F3L0	10147	Govt Nat. Mort. Assc.		07/01/2008	2,257.79	2,431.05	2,223.22	8.000	AAA	8.468	1,200	04/15/2017
36217TRS0	10151	Govt Nat. Mort. Assc.		07/01/2008	0.00	0.00	0.00	8.000	AAA	8.672	0	04/15/2017
36214VCM7	10154	Govt Nat. Mort. Assc.		07/01/2008	41.54	41.54	40.71	12.000	AAA	12.854	195	07/15/2014
<b>Subtotal and Average</b>			<b>2,285.61</b>		<b>2,299.33</b>	<b>2,472.59</b>	<b>2,263.93</b>		<b>8.547</b>	<b>1,182</b>		
<b>Total and Average</b>			<b>307,502,208.68</b>		<b>327,918,036.21</b>	<b>326,651,675.22</b>	<b>327,904,256.26</b>		<b>0.745</b>	<b>834</b>		

Fiscal Year 2013-2014  
2nd Quarter

Humboldt Co. Treasurer  
Investment Pool  
Value by Type

Humboldt County  
825 Fifth Street  
Eureka, CA 95501  
(707) 476-2450

