



Humboldt Co. Treasurer's Pool
Portfolio Management
Portfolio Summary
September 30, 2013

Humboldt County
 825 Fifth Street
 Eureka, CA 95501
 (707) 476-2450

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 360 Equiv.	YTM 365 Equiv.
Certificates of Deposit	55,000,000.00	55,000,000.00	55,000,000.00	20.16	531	329	0.550	0.558
Money Markets	60,125,570.18	60,125,570.18	60,125,570.18	22.03	1	1	0.234	0.237
Federal Agency Coupon Securities	157,760,000.00	155,626,606.39	157,740,236.71	57.81	1,719	1,522	1.014	1.028
Pass Through Securities (GNMA/CMO)	2,444.53	2,634.91	2,406.91	0.00	3,193	1,275	8.426	8.543
Investments	272,888,014.71	270,754,811.48	272,868,213.80	100.00%	1,101	947	0.749	0.759

Total Earnings	September 30 Month Ending
Current Year	162,520.86
Average Daily Balance	264,641,278.74
Effective Rate of Return	0.75%

John Bartholomew, Treasurer - Tax Collector

Reporting period 09/01/2013-09/30/2013

Run Date: 04/21/2014 - 10:26

No fiscal year history available

Portfolio INVT
 RC
 PM (PRF_PM1) 7.3.0
 Report Ver. 7.3.5

**Humboldt Co. Treasurer's Pool
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September 30, 2013**

CUSIP	Investment #	Issuer	Average Balance	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Certificates of Deposit												
101165861	10642	North Valley Bank		09/19/2012	10,000,000.00	10,000,000.00	10,000,000.00	0.500		0.500	353	09/19/2014
101166461	10648	North Valley Bank		11/08/2012	5,000,000.00	5,000,000.00	5,000,000.00	0.500		0.500	403	11/08/2014
991231325	10661	Umpqua Bank		12/07/2012	10,000,000.00	10,000,000.00	10,000,000.00	0.500		0.500	432	12/07/2014
991321416	10714	Umpqua Bank		06/28/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600		0.608	269	06/27/2014
991231291B	10718	Umpqua Bank		06/28/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600		0.608	269	06/27/2014
991231150B	10731	Umpqua Bank		07/14/2013	10,000,000.00	10,000,000.00	10,000,000.00	0.600		0.600	286	07/14/2014
Subtotal and Average			55,000,000.00		55,000,000.00	55,000,000.00	55,000,000.00			0.558	329	
Money Markets												
6002000	10106	CAMP			1,000,087.56	1,000,087.56	1,000,087.56	0.080		0.080	1	
9912000	10060	LAIF			14,125,482.62	14,125,482.62	14,125,482.62	0.240		0.240	1	
7512003	10084	LAIF			45,000,000.00	45,000,000.00	45,000,000.00	0.240		0.240	1	
Subtotal and Average			55,692,169.72		60,125,570.18	60,125,570.18	60,125,570.18			0.237	1	
Federal Agency Coupon Securities												
3133EAR26	10639	Federal Farm Credit Bank		09/12/2012	2,000,000.00	1,976,038.00	2,000,000.00	0.970		0.970	1,435	09/05/2017
3133EAX29	10641	Federal Farm Credit Bank		09/18/2012	2,000,000.00	1,973,142.00	2,000,000.00	0.980		0.980	1,448	09/18/2017
3133EC2M5	10650	Federal Farm Credit Bank		11/13/2012	2,000,000.00	1,957,228.00	2,000,000.00	0.940		0.940	1,504	11/13/2017
3133ECB45	10664	Federal Farm Credit Bank		12/26/2012	2,000,000.00	1,963,342.00	2,000,000.00	0.900		0.900	1,547	12/26/2017
3133ECL44	10683	Federal Farm Credit Bank		04/11/2013	2,000,000.00	1,958,076.00	2,000,000.00	1.000		1.000	1,653	04/11/2018
3133ECMF8	10684	Federal Farm Credit Bank		04/24/2013	2,000,000.00	1,952,618.00	2,000,000.00	0.980		0.980	1,666	04/24/2018
3133ECMF8	10685	Federal Farm Credit Bank		04/24/2013	2,000,000.00	1,952,618.00	2,000,000.00	0.980		0.980	1,666	04/24/2018
3133ECNY6	10694	Federal Farm Credit Bank		05/08/2013	2,000,000.00	1,947,654.00	2,000,000.00	0.950		0.950	1,680	05/08/2018
3133ECNY6	10695	Federal Farm Credit Bank		05/08/2013	2,000,000.00	1,947,654.00	2,000,000.00	0.950		0.950	1,680	05/08/2018
3133ECQW7	10705	Federal Farm Credit Bank		06/04/2013	2,000,000.00	1,952,386.00	1,998,000.00	1.250		1.271	1,707	06/04/2018
3133ECQW7	10706	Federal Farm Credit Bank		06/04/2013	2,000,000.00	1,952,386.00	2,000,000.00	1.250		1.250	1,707	06/04/2018
3133ECUT9	10725	Federal Farm Credit Bank		07/18/2013	2,000,000.00	2,004,126.00	2,000,000.00	0.750		0.750	1,021	07/18/2016
3133ECYG3	10740	Federal Farm Credit Bank		08/28/2013	2,000,000.00	2,020,876.00	2,000,000.00	2.125		2.125	1,792	08/28/2018
3133ECYG3	10741	Federal Farm Credit Bank		08/28/2013	2,000,000.00	2,020,876.00	2,000,000.00	2.125		2.125	1,792	08/28/2018
313380MF1	10640	Federal Home Loan Bank		09/18/2012	2,000,000.00	1,970,460.00	2,000,000.00	1.000		1.000	1,448	09/18/2017
313380Z34	10645	Federal Home Loan Bank		10/23/2012	2,000,000.00	1,967,996.00	2,000,000.00	0.900		0.900	1,483	10/23/2017
3133814N2	10646	Federal Home Loan Bank		11/01/2012	2,000,000.00	1,969,852.00	2,000,000.00	0.950		0.950	1,492	11/01/2017
3133813R4	10649	Federal Home Loan Bank		11/09/2012	2,000,000.00	1,964,412.00	2,000,000.00	1.000		1.000	1,500	11/09/2017
313381LC7	10669	Federal Home Loan Bank		12/28/2012	5,000,000.00	4,904,075.00	5,000,000.00	0.950		0.950	1,549	12/28/2017
313381LC7	10670	Federal Home Loan Bank		12/28/2012	2,000,000.00	1,961,630.00	2,000,000.00	0.950		0.950	1,549	12/28/2017
3133823A9	10681	Federal Home Loan Bank		02/22/2013	2,000,000.00	1,970,708.00	2,000,000.00	1.150	AAA	1.150	1,605	02/22/2018

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Federal Agency Coupon Securities												
313382RP0	10686	Federal Home Loan Bank		04/30/2013	2,000,000.00	1,968,942.00	2,000,000.00	0.750		1.065	1,672	04/30/2018
313382W90	10699	Federal Home Loan Bank		05/16/2013	2,000,000.00	1,960,642.00	2,000,000.00	0.700		1.053	1,688	05/16/2018
313383G88	10707	Federal Home Loan Bank		06/18/2013	2,000,000.00	1,957,524.00	2,000,000.00	1.100		1.100	1,721	06/18/2018
313383MY4	10723	Federal Home Loan Bank		07/17/2013	2,000,000.00	1,997,738.00	2,000,000.00	1.375		0.000	1,750	07/17/2018
313383ZB0	10745	Federal Home Loan Bank		09/27/2013	2,000,000.00	2,006,740.00	2,000,000.00	2.150		2.150	1,822	09/27/2018
3134G3GY0	10600	Federal Home Loan Mtg Corp		01/13/2012	2,000,000.00	2,004,598.00	2,000,000.00	1.125		1.125	834	01/13/2016
3134G3TM2	10606	Federal Home Loan Mtg Corp		03/28/2012	1,500,000.00	1,507,899.00	1,500,000.00	1.400		1.400	1,274	03/28/2017
3134G3SE1	10608	Federal Home Loan Mtg Corp		03/29/2012	2,000,000.00	2,005,988.00	2,000,000.00	1.000		1.000	902	03/21/2016
3134G3K82	10643	Federal Home Loan Mtg Corp		09/27/2012	2,260,000.00	2,239,596.72	2,260,000.00	0.750		0.750	1,273	03/27/2017
3134G32S8	10666	Federal Home Loan Mtg Corp		12/27/2012	2,000,000.00	1,967,592.00	2,000,000.00	0.750		0.750	1,365	06/27/2017
3134G33Y4	10671	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,962,572.00	2,000,000.00	0.950		0.950	1,574	01/22/2018
3134G33Y4	10672	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,962,572.00	2,000,000.00	0.950		0.950	1,574	01/22/2018
3134G33B4	10673	Federal Home Loan Mtg Corp		01/22/2013	2,000,000.00	1,961,770.00	2,000,000.00	1.000		1.006	1,563	01/11/2018
3134G37A2	10682	Federal Home Loan Mtg Corp		04/02/2013	2,000,000.00	1,960,418.00	2,000,000.00	1.125		1.125	1,644	04/02/2018
3134G42G2	10689	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,952,848.00	2,000,000.00	1.050		1.050	1,672	04/30/2018
3134G42G2	10690	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,952,848.00	2,000,000.00	1.050		1.050	1,672	04/30/2018
3134G43F3	10691	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,951,188.00	2,000,000.00	1.020		1.020	1,672	04/30/2018
3134G43F3	10692	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,951,188.00	2,000,000.00	1.020		1.020	1,672	04/30/2018
3134G43F3	10693	Federal Home Loan Mtg Corp		04/30/2013	2,000,000.00	1,951,188.00	1,999,000.00	1.020		1.030	1,672	04/30/2018
3134G44E5	10701	Federal Home Loan Mtg Corp		05/22/2013	3,000,000.00	2,927,286.00	3,000,000.00	0.950		0.950	1,694	05/22/2018
3134G47M4	10708	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,976,152.00	2,000,000.00	1.500		1.500	1,729	06/26/2018
3134G47M4	10709	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,976,152.00	2,000,000.00	1.500		1.500	1,729	06/26/2018
3134G47G7	10711	Federal Home Loan Mtg Corp		06/26/2013	2,000,000.00	1,972,514.00	2,000,000.00	1.400		0.000	1,729	06/26/2018
3134G4CM8	10727	Federal Home Loan Mtg Corp		07/24/2013	2,000,000.00	2,004,924.00	2,000,000.00	1.250		1.250	1,211	01/24/2017
3134G4DQ8	10733	Federal Home Loan Mtg Corp		07/30/2013	2,000,000.00	2,002,992.00	2,000,000.00	2.000		2.000	1,763	07/30/2018
3137EADS5	10739	Federal Home Loan Mtg Corp		08/22/2013	2,000,000.00	2,008,413.67	2,000,291.67	0.875		0.754	1,109	10/14/2016
3134G4EW4	10746	Federal Home Loan Mtg Corp		08/29/2013	2,000,000.00	2,000,000.00	2,000,000.00	2.000		2.000	1,791	08/27/2018
3136G04F5	10653	Federal National Mtg Assn		11/21/2012	2,000,000.00	1,985,546.00	2,000,000.00	0.750		1.120	1,512	11/21/2017
3136G04S7	10656	Federal National Mtg Assn		11/21/2012	2,000,000.00	1,984,914.00	2,000,000.00	0.750		0.750	1,239	02/21/2017
3136G04U2	10657	Federal National Mtg Assn		11/29/2012	2,000,000.00	1,971,066.00	2,000,000.00	1.000		1.000	1,520	11/29/2017
3136G07M7	10658	Federal National Mtg Assn		11/30/2012	2,000,000.00	1,961,992.00	2,000,000.00	0.900		0.900	1,518	11/27/2017
3136G05S6	10659	Federal National Mtg Assn		11/29/2012	2,000,000.00	1,963,248.00	2,000,000.00	0.900		0.904	1,429	08/30/2017
3136G06Z9	10662	Federal National Mtg Assn		12/13/2012	2,000,000.00	1,983,364.00	2,000,000.00	0.650		0.650	1,169	12/13/2016
3136G13W7	10663	Federal National Mtg Assn		12/19/2012	2,000,000.00	1,966,492.00	2,000,000.00	0.800		0.800	1,357	06/19/2017
3136G14Q9	10665	Federal National Mtg Assn		12/26/2012	2,000,000.00	1,966,378.00	2,000,000.00	0.750		0.947	1,547	12/26/2017
3136G15G0	10667	Federal National Mtg Assn		12/27/2012	2,000,000.00	1,972,740.00	2,000,000.00	0.500		0.776	1,365	06/27/2017

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Federal Agency Coupon Securities												
3135G0SR5	10668	Federal National Mtg Assn		12/27/2012	2,000,000.00	1,990,056.00	2,000,000.00	0.560		0.560	1,000	06/27/2016
3136G1B32	10674	Federal National Mtg Assn		01/30/2013	2,000,000.00	1,969,424.00	2,000,000.00	0.700		1.141	1,582	01/30/2018
3136G1B32	10675	Federal National Mtg Assn		01/30/2013	2,000,000.00	1,969,424.00	2,000,000.00	0.700		1.141	1,582	01/30/2018
3136G1KD0	10687	Federal National Mtg Assn		04/30/2013	2,000,000.00	1,948,472.00	2,000,000.00	1.000		1.000	1,672	04/30/2018
3136G1LB3	10688	Federal National Mtg Assn		04/30/2013	2,000,000.00	1,955,110.00	2,000,000.00	0.700		1.156	1,672	04/30/2018
3136G1LE7	10696	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,948,140.00	2,000,000.00	1.010		1.010	1,687	05/15/2018
3136G1KV0	10697	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,956,784.00	2,000,000.00	0.750		1.105	1,687	05/15/2018
3136G1KV0	10698	Federal National Mtg Assn		05/15/2013	2,000,000.00	1,956,784.00	2,000,000.00	0.750		1.105	1,687	05/15/2018
3135G0XD0	10700	Federal National Mtg Assn		05/21/2013	2,000,000.00	1,929,208.00	2,000,000.00	1.000		1.000	1,693	05/21/2018
3136G1M63	10702	Federal National Mtg Assn		05/22/2013	2,000,000.00	1,953,100.00	2,000,000.00	0.750		1.141	1,694	05/22/2018
3135G0XK4	10703	Federal National Mtg Assn		05/30/2013	2,000,000.00	1,946,126.00	2,000,000.00	1.050		1.050	1,697	05/25/2018
3135G0XK4	10704	Federal National Mtg Assn		05/30/2013	2,000,000.00	1,946,126.00	2,000,000.00	1.050		1.050	1,697	05/25/2018
3135G0XP3	10712	Federal National Mtg Assn		06/28/2013	2,000,000.00	1,982,296.00	1,978,956.39	0.375		0.730	1,008	07/05/2016
3135G0VB6	10713	Federal National Mtg Assn		07/01/2013	2,000,000.00	1,997,232.00	1,993,404.26	0.650		0.779	909	03/28/2016
3136G1PD5	10719	Federal National Mtg Assn		07/10/2013	2,000,000.00	1,997,584.00	2,000,000.00	1.700		0.000	1,743	07/10/2018
3136G1PD5	10720	Federal National Mtg Assn		07/10/2013	2,000,000.00	1,997,584.00	2,000,000.00	1.700		0.000	1,743	07/10/2018
3136G1PT0	10726	Federal National Mtg Assn		07/18/2013	2,000,000.00	2,007,834.00	2,000,000.00	1.125		1.883	1,751	07/18/2018
3136G1RK7	10732	Federal National Mtg Assn		07/29/2013	2,000,000.00	2,002,044.00	2,000,000.00	0.800		0.800	1,032	07/29/2016
3135G0CM3	10743	Federal National Mtg Assn		09/06/2013	2,000,000.00	2,029,030.00	2,010,584.39	1.250		1.070	1,093	09/28/2016
3136G1VH9	10744	Federal National Mtg Assn		09/27/2013	2,000,000.00	2,008,070.00	2,000,000.00	1.250		1.250	1,092	09/27/2016
Subtotal and Average			153,946,677.05		157,760,000.00	155,626,606.39	157,740,236.71			1.028	1,522	
Pass Through Securities (GNMA/CMO)												
36218F3L0	10147	Govt Nat. Mort. Assc.		07/01/2008	2,402.99	2,593.37	2,366.20	8.000	AAA	8.468	1,292	04/15/2017
36217TRS0	10151	Govt Nat. Mort. Assc.		07/01/2008	0.00	0.00	0.00	8.000	AAA	8.672	0	04/15/2017
36214VCM7	10154	Govt Nat. Mort. Assc.		07/01/2008	41.54	41.54	40.71	12.000	AAA	12.854	287	07/15/2014
Subtotal and Average			2,431.97		2,444.53	2,634.91	2,406.91			8.543	1,275	
Total and Average			264,641,278.74		272,888,014.71	270,754,811.48	272,868,213.80			0.759	947	

Fiscal Year 2013-2014
1st Quarter

Humboldt Co. Treasurer
Investment Pool
Value by Type

Humboldt County
825 Fifth Street
Eureka, CA 95501
(707) 476-2450

