



**Humboldt Co. Treasurer's Pool  
Portfolio Management  
Portfolio Summary  
September 30, 2016**

Humboldt County  
825 Fifth Street  
Eureka, CA 95501  
(707) 476-2450

| <b>Investments</b>                 | <b>Par Value</b>      | <b>Market Value</b>   | <b>Book Value</b>     | <b>% of Portfolio</b> | <b>Term</b>  | <b>Days to Maturity</b> | <b>YTM 360 Equiv.</b> | <b>YTM 365 Equiv.</b> |
|------------------------------------|-----------------------|-----------------------|-----------------------|-----------------------|--------------|-------------------------|-----------------------|-----------------------|
| Certificates of Deposit            | 4,676,000.00          | 4,676,000.00          | 4,676,000.00          | 1.48                  | 2,016        | 1,379                   | 1.924                 | 1.951                 |
| Money Markets                      | 72,313,113.42         | 72,313,113.42         | 72,313,113.42         | 22.94                 | 1            | 1                       | 0.362                 | 0.367                 |
| Federal Agency Coupon Securities   | 230,324,000.00        | 230,614,925.32        | 230,252,778.13        | 73.05                 | 1,566        | 1,110                   | 1.372                 | 1.391                 |
| Treasury Coupon Securities         | 6,000,000.00          | 6,036,326.00          | 5,960,018.74          | 1.89                  | 1,672        | 1,369                   | 1.568                 | 1.590                 |
| Pass Through Securities (GNMA/CMO) | 167.99                | 169.46                | 165.42                | 0.00                  | 3,210        | 196                     | 8.352                 | 8.468                 |
| Municipal Bonds                    | 2,000,000.00          | 2,005,420.00          | 1,999,117.31          | 0.63                  | 1,056        | 396                     | 1.263                 | 1.280                 |
| <b>Investments</b>                 | <b>315,313,281.41</b> | <b>315,645,954.20</b> | <b>315,201,193.02</b> | <b>100.00%</b>        | <b>1,212</b> | <b>860</b>              | <b>1.152</b>          | <b>1.168</b>          |

| <b>Total Earnings</b>           | <b>September 30 Month Ending</b> |
|---------------------------------|----------------------------------|
| Current Year                    | 272,780.97                       |
| <b>Average Daily Balance</b>    | <b>305,003,150.27</b>            |
| <b>Effective Rate of Return</b> | <b>1.09%</b>                     |

John Bartholomew, Treasurer - Tax Collector

Reporting period 09/01/2016-09/30/2016

Run Date: 10/04/2016 - 16:11

No fiscal year history available

Portfolio INVT  
RC  
PM (PRF\_PM1) 7.3.0  
Report Ver. 7.3.5

**Humboldt Co. Treasurer's Pool  
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September 30, 2016**

| CUSIP                                   | Investment # | Issuer                         | Average Balance      | Purchase Date | Par Value            | Market Value         | Book Value           | Stated Rate | S&P | YTM 365      | Days to Maturity | Maturity Date |
|---|--------------|--------------------------------|----------------------|---------------|----------------------|----------------------|----------------------|-------------|-----|--------------|------------------|---------------|
| <b>Certificates of Deposit</b>          |              |                                |                      |               |                      |                      |                      |             |     |              |                  |               |
| 02006LVL4                               | 10949        | Ally Bank FDIC 57803           |                      | 11/05/2015    | 245,000.00           | 245,000.00           | 245,000.00           | 1.600       |     | 1.600        | 765              | 11/05/2018    |
| 02587DF86                               | 10947        | AMEX Centurion FDIC 2747       |                      | 11/04/2015    | 245,000.00           | 245,000.00           | 245,000.00           | 2.250       |     | 2.250        | 1,495            | 11/04/2020    |
| 02587CCC2                               | 10910        | AMEX Savings Bank FDIC 35328   |                      | 10/29/2014    | 245,000.00           | 245,000.00           | 245,000.00           | 2.200       |     | 2.200        | 1,117            | 10/23/2019    |
| 06062ACA5                               | 10776        | Bank of Baroda FDIC 33681      |                      | 12/13/2013    | 248,000.00           | 248,000.00           | 248,000.00           | 1.000       |     | 1.000        | 73               | 12/13/2016    |
| 14042RBQ3                               | 10946        | Capital One NA FDIC 4297       |                      | 11/04/2015    | 245,000.00           | 245,000.00           | 245,000.00           | 2.150       |     | 2.150        | 1,495            | 11/04/2020    |
| 140420QS2                               | 10860        | Capital One Bank FDIC 33954    |                      | 11/12/2014    | 248,000.00           | 248,000.00           | 248,000.00           | 2.100       |     | 2.100        | 1,137            | 11/12/2019    |
| 17284C2Z6                               | 10858        | Citi Bank FDIC 35575           |                      | 11/13/2014    | 245,000.00           | 245,000.00           | 245,000.00           | 2.650       |     | 2.650        | 1,871            | 11/15/2021    |
| 254672WG9                               | 10948        | Discover Bank FDIC 5649        |                      | 11/04/2015    | 245,000.00           | 245,000.00           | 245,000.00           | 2.200       |     | 2.200        | 1,495            | 11/04/2020    |
| 29266NE29                               | 10861        | Enerbank USA 76066             |                      | 11/25/2014    | 245,000.00           | 245,000.00           | 245,000.00           | 2.600       |     | 2.600        | 2,246            | 11/25/2022    |
| 33648FJL0                               | 10787        | First State Bank WV FDIC 14361 |                      | 02/12/2014    | 248,000.00           | 248,000.00           | 248,000.00           | 1.650       |     | 1.650        | 864              | 02/12/2019    |
| 36157PTM1                               | 10767        | GE Retail Bank FDIC 27314      |                      | 11/15/2013    | 248,000.00           | 248,000.00           | 248,000.00           | 1.100       |     | 1.100        | 45               | 11/15/2016    |
| 38147JPG1                               | 10770        | Goldman Sachs FDIC 33124       |                      | 11/20/2013    | 248,000.00           | 248,000.00           | 248,000.00           | 1.000       |     | 1.000        | 51               | 11/21/2016    |
| 40434QAQ0                               | 10868        | HSBC Bank                      |                      | 12/09/2014    | 245,000.00           | 245,000.00           | 245,000.00           | 2.500       |     | 2.500        | 2,991            | 12/09/2024    |
| 48125Y2R4                               | 11039        | JP Morgan Chase Bank FDIC 628  |                      | 06/10/2016    | 245,000.00           | 245,000.00           | 245,000.00           | 2.000       |     | 2.000        | 2,809            | 06/10/2024    |
| 628825JH5                               | 10840        | NCB Bank                       |                      | 02/11/2014    | 248,000.00           | 248,000.00           | 248,000.00           | 1.650       |     | 1.650        | 863              | 02/11/2019    |
| 71270QKT2                               | 10869        | Peoples United Bank            |                      | 12/10/2014    | 248,000.00           | 248,000.00           | 248,000.00           | 1.900       |     | 1.900        | 1,165            | 12/10/2019    |
| 795450WV3                               | 10952        | Sallie Mae Bank FDIC 58177     |                      | 11/04/2015    | 245,000.00           | 245,000.00           | 245,000.00           | 2.200       |     | 2.200        | 1,495            | 11/04/2020    |
| 8562843L6                               | 10865        | Bank of India NY FDIC 33682    |                      | 12/05/2014    | 245,000.00           | 245,000.00           | 245,000.00           | 3.100       |     | 3.100        | 2,987            | 12/05/2024    |
| 94986TTT4                               | 10926        | Wells Fargo                    |                      | 04/30/2015    | 245,000.00           | 245,000.00           | 245,000.00           | 1.250       |     | 1.250        | 1,307            | 04/30/2020    |
| <b>Subtotal and Average</b>             |              |                                | <b>4,676,000.00</b>  |               | <b>4,676,000.00</b>  | <b>4,676,000.00</b>  | <b>4,676,000.00</b>  |             |     | <b>1.951</b> | <b>1,379</b>     |               |
| <b>Money Markets</b>                    |              |                                |                      |               |                      |                      |                      |             |     |              |                  |               |
| 6002000                                 | 10106        | CAMP                           |                      |               | 1,005,331.81         | 1,005,331.81         | 1,005,331.81         | 0.150       |     | 0.150        | 1                |               |
| 9912000                                 | 10060        | LAIF                           |                      |               | 31,890,248.20        | 31,890,248.20        | 31,890,248.20        | 0.370       |     | 0.370        | 1                |               |
| 7512003                                 | 10084        | LAIF                           |                      |               | 37,187,043.80        | 37,187,043.80        | 37,187,043.80        | 0.370       |     | 0.370        | 1                |               |
| 993155761                               | 10879        | Umpqua Bank                    |                      |               | 2,230,489.61         | 2,230,489.61         | 2,230,489.61         | 0.380       |     | 0.380        | 1                |               |
| <b>Subtotal and Average</b>             |              |                                | <b>76,879,780.00</b> |               | <b>72,313,113.42</b> | <b>72,313,113.42</b> | <b>72,313,113.42</b> |             |     | <b>0.367</b> | <b>1</b>         |               |
| <b>Federal Agency Coupon Securities</b> |              |                                |                      |               |                      |                      |                      |             |     |              |                  |               |
| 037833AJ9                               | 11009        | Apple INC                      |                      | 02/05/2016    | 2,000,000.00         | 1,997,380.00         | 1,996,043.56         | 1.000       |     | 1.100        | 579              | 05/03/2018    |
| 3133ECB45                               | 10664        | Federal Farm Credit Bank       |                      | 12/26/2012    | 2,000,000.00         | 2,000,002.00         | 2,000,000.00         | 0.900       |     | 0.900        | 451              | 12/26/2017    |
| 3133ECL44                               | 10683        | Federal Farm Credit Bank       |                      | 04/11/2013    | 2,000,000.00         | 1,997,600.00         | 2,000,000.00         | 1.000       |     | 1.000        | 557              | 04/11/2018    |
| 3133ECMF8                               | 10684        | Federal Farm Credit Bank       |                      | 04/24/2013    | 2,000,000.00         | 1,994,198.00         | 2,000,000.00         | 0.980       |     | 0.980        | 570              | 04/24/2018    |
| 3133ECMF8                               | 10685        | Federal Farm Credit Bank       |                      | 04/24/2013    | 2,000,000.00         | 1,994,198.00         | 2,000,000.00         | 0.980       |     | 0.980        | 570              | 04/24/2018    |
| 3133ECNY6                               | 10694        | Federal Farm Credit Bank       |                      | 05/08/2013    | 2,000,000.00         | 2,000,142.00         | 2,000,000.00         | 0.950       |     | 0.950        | 584              | 05/08/2018    |
| 3133ECNY6                               | 10695        | Federal Farm Credit Bank       |                      | 05/08/2013    | 2,000,000.00         | 2,000,142.00         | 2,000,000.00         | 0.950       |     | 0.950        | 584              | 05/08/2018    |

Portfolio INVT  
RC  
PM (PRF\_PM2) 7.3.0

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| CUSIP                                   | Investment # | Issuer                   | Average Balance | Purchase Date | Par Value    | Market Value | Book Value   | Stated Rate | S&P | YTM 365 | Days to Maturity | Maturity Date |
|---|--------------|--------------------------|-----------------|---------------|--------------|--------------|--------------|-------------|-----|---------|------------------|---------------|
| <b>Federal Agency Coupon Securities</b> |              |                          |                 |               |              |              |              |             |     |         |                  |               |
| 3133EDVE9                               | 10855        | Federal Farm Credit Bank |                 | 11/05/2014    | 2,000,000.00 | 2,046,992.00 | 2,007,639.48 | 1.900       |     | 1.766   | 1,082            | 09/18/2019    |
| 3133EEFR6                               | 10880        | Federal Farm Credit Bank |                 | 12/22/2014    | 1,000,000.00 | 1,019,842.00 | 1,000,000.00 | 2.480       | AAA | 2.480   | 1,908            | 12/22/2021    |
| 3133EEHF0                               | 10888        | Federal Farm Credit Bank |                 | 01/06/2015    | 2,000,000.00 | 2,037,046.00 | 2,011,882.69 | 1.800       |     | 1.623   | 1,130            | 11/05/2019    |
| 3133EFJJ7                               | 10935        | Federal Farm Credit Bank |                 | 10/21/2015    | 2,000,000.00 | 2,000,234.00 | 2,000,000.00 | 1.420       |     | 1.420   | 1,115            | 10/21/2019    |
| 3133EFLZ8                               | 10939        | Federal Farm Credit Bank |                 | 10/28/2015    | 2,000,000.00 | 1,996,182.00 | 2,000,000.00 | 1.460       |     | 1.460   | 1,488            | 10/28/2020    |
| 3133EFNM5                               | 10955        | Federal Farm Credit Bank |                 | 11/09/2015    | 1,435,000.00 | 1,435,513.73 | 1,435,000.00 | 1.800       |     | 1.800   | 1,500            | 11/09/2020    |
| 3133EFNT0                               | 10958        | Federal Farm Credit Bank |                 | 11/18/2015    | 2,000,000.00 | 2,000,936.00 | 2,000,000.00 | 1.580       |     | 1.580   | 1,143            | 11/18/2019    |
| 3133ECGV0                               | 10971        | Federal Farm Credit Bank |                 | 12/02/2015    | 2,000,000.00 | 2,010,134.00 | 1,994,571.80 | 1.440       |     | 1.520   | 1,250            | 03/04/2020    |
| 3133EFSP3                               | 10974        | Federal Farm Credit Bank |                 | 12/15/2015    | 1,000,000.00 | 1,001,860.00 | 1,000,000.00 | 2.670       |     | 2.670   | 2,631            | 12/15/2023    |
| 3133EFSW8                               | 10980        | Federal Farm Credit Bank |                 | 12/21/2015    | 2,000,000.00 | 2,002,966.00 | 2,000,000.00 | 1.375       |     | 1.375   | 811              | 12/21/2018    |
| 3133EFWD5                               | 11000        | Federal Farm Credit Bank |                 | 01/25/2016    | 2,000,000.00 | 1,993,222.00 | 2,000,000.00 | 1.230       |     | 1.230   | 846              | 01/25/2019    |
| 3133EFXM4                               | 11006        | Federal Farm Credit Bank |                 | 02/03/2016    | 2,000,000.00 | 2,002,280.00 | 2,000,000.00 | 1.520       |     | 1.520   | 1,220            | 02/03/2020    |
| 3133EFZT7                               | 11011        | Federal Farm Credit Bank |                 | 02/24/2016    | 2,000,000.00 | 1,988,706.00 | 2,000,000.00 | 1.400       |     | 1.400   | 1,241            | 02/24/2020    |
| 3133EFH42                               | 11014        | Federal Farm Credit Bank |                 | 03/02/2016    | 1,004,000.00 | 1,003,221.90 | 1,004,000.00 | 1.875       |     | 1.875   | 1,978            | 03/02/2022    |
| 3133EFN94                               | 11017        | Federal Farm Credit Bank |                 | 03/18/2016    | 2,000,000.00 | 1,998,462.00 | 2,000,000.00 | 1.280       |     | 1.280   | 901              | 03/21/2019    |
| 3133EF5T0                               | 11027        | Federal Farm Credit Bank |                 | 05/03/2016    | 2,000,000.00 | 1,998,982.00 | 2,000,000.00 | 1.700       |     | 1.700   | 1,675            | 05/03/2021    |
| 3133EFW52                               | 11028        | Federal Farm Credit Bank |                 | 05/03/2016    | 4,000,000.00 | 4,000,036.00 | 4,011,768.01 | 1.150       |     | 1.050   | 1,003            | 07/01/2019    |
| 3133EF7L5                               | 11031        | Federal Farm Credit Bank |                 | 05/16/2016    | 3,000,000.00 | 2,995,956.00 | 3,000,000.00 | 1.170       |     | 1.170   | 957              | 05/16/2019    |
| 3133EGCA1                               | 11037        | Federal Farm Credit Bank |                 | 06/03/2016    | 3,000,000.00 | 2,994,000.00 | 2,994,000.00 | 1.060       |     | 1.128   | 975              | 06/03/2019    |
| 3133EGEV3                               | 11041        | Federal Farm Credit Bank |                 | 06/14/2016    | 5,000,000.00 | 5,000,000.00 | 5,000,000.00 | 1.620       |     | 1.620   | 1,717            | 06/14/2021    |
| 3133EF5Y9                               | 11046        | Federal Farm Credit Bank |                 | 05/04/2016    | 2,000,000.00 | 2,000,000.00 | 2,000,000.00 | 1.470       |     | 1.470   | 1,311            | 05/04/2020    |
| 3133EGTM7                               | 11049        | Federal Farm Credit Bank |                 | 09/08/2016    | 5,000,000.00 | 5,000,000.00 | 5,000,000.00 | 1.375       |     | 1.375   | 1,438            | 09/08/2020    |
| 3133EGSA4                               | 11050        | Federal Farm Credit Bank |                 | 09/15/2016    | 3,000,000.00 | 3,002,310.00 | 3,002,310.00 | 1.320       |     | 1.320   | 1,423            | 08/24/2020    |
| 3133EGVE2                               | 11052        | Federal Farm Credit Bank |                 | 09/21/2016    | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 1.070       |     | 1.070   | 901              | 03/21/2019    |
| 313383G88                               | 10707        | Federal Home Loan Bank   |                 | 06/18/2013    | 2,000,000.00 | 2,005,754.00 | 2,000,000.00 | 1.100       |     | 1.100   | 625              | 06/18/2018    |
| 3130A3N83                               | 10870        | Federal Home Loan Bank   |                 | 12/29/2014    | 2,000,000.00 | 2,004,146.00 | 2,000,000.00 | 1.050       |     | 1.050   | 454              | 12/29/2017    |
| 313379EE5                               | 10874        | Federal Home Loan Bank   |                 | 12/09/2014    | 2,000,000.00 | 2,029,866.00 | 1,997,155.45 | 1.625       |     | 1.674   | 986              | 06/14/2019    |
| 313383HU8                               | 10928        | Federal Home Loan Bank   |                 | 06/12/2015    | 2,000,000.00 | 2,030,752.00 | 2,006,080.00 | 1.750       |     | 1.670   | 1,350            | 06/12/2020    |
| 3130A66T9                               | 10933        | Federal Home Loan Bank   |                 | 10/16/2015    | 1,000,000.00 | 1,007,865.00 | 1,010,987.24 | 1.625       |     | 1.340   | 1,441            | 09/11/2020    |
| 313380FB8                               | 10934        | Federal Home Loan Bank   |                 | 10/16/2015    | 2,000,000.00 | 2,010,608.00 | 2,011,395.65 | 1.375       |     | 1.180   | 1,077            | 09/13/2019    |
| 3130A6PE1                               | 10938        | Federal Home Loan Bank   |                 | 10/28/2015    | 1,250,000.00 | 1,250,018.75 | 1,250,000.00 | 1.600       |     | 1.600   | 1,488            | 10/28/2020    |
| 313383HU8                               | 10954        | Federal Home Loan Bank   |                 | 11/09/2015    | 2,000,000.00 | 2,030,752.00 | 1,999,738.66 | 1.750       |     | 1.753   | 1,350            | 06/12/2020    |
| 3130A6UN5                               | 10985        | Federal Home Loan Bank   |                 | 12/28/2015    | 2,000,000.00 | 2,003,596.00 | 2,000,000.00 | 1.400       |     | 1.400   | 908              | 03/28/2019    |
| 3130A6UX3                               | 10986        | Federal Home Loan Bank   |                 | 12/28/2015    | 2,000,000.00 | 2,004,376.00 | 2,000,000.00 | 1.500       |     | 1.500   | 1,000            | 06/28/2019    |
| 3130A6XE2                               | 10990        | Federal Home Loan Bank   |                 | 12/31/2015    | 2,000,000.00 | 2,010,192.00 | 1,998,828.09 | 1.250       |     | 1.281   | 678              | 08/10/2018    |
| 313383HU8                               | 10991        | Federal Home Loan Bank   |                 | 01/08/2016    | 2,000,000.00 | 2,030,752.00 | 2,009,603.01 | 1.750       |     | 1.625   | 1,350            | 06/12/2020    |

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|---|--------------|----------------------------|-----------------|---------------|--------------|--------------|--------------|-------------|-----|---------|------------------|---------------|
| <b>Federal Agency Coupon Securities</b> |              |                            |                 |               |              |              |              |             |     |         |                  |               |
| 3130A6UN5                               | 10995        | Federal Home Loan Bank     |                 | 12/28/2015    | 2,000,000.00 | 2,003,596.00 | 2,000,000.00 | 1.400       |     | 1.400   | 908              | 03/28/2019    |
| 3130A6Y84                               | 10998        | Federal Home Loan Bank     |                 | 01/25/2016    | 2,000,000.00 | 2,005,674.00 | 2,000,000.00 | 1.500       |     | 1.500   | 846              | 01/25/2019    |
| 3130A6Y84                               | 10999        | Federal Home Loan Bank     |                 | 01/25/2016    | 2,000,000.00 | 2,005,674.00 | 2,000,000.00 | 1.500       |     | 1.500   | 846              | 01/25/2019    |
| 3130A6YN1                               | 11002        | Federal Home Loan Bank     |                 | 01/27/2016    | 4,000,000.00 | 4,014,104.00 | 4,000,000.00 | 1.700       |     | 1.700   | 1,213            | 01/27/2020    |
| 3130A6XY8                               | 11003        | Federal Home Loan Bank     |                 | 01/27/2016    | 4,000,000.00 | 4,011,528.00 | 4,000,000.00 | 2.000       |     | 2.000   | 1,579            | 01/27/2021    |
| 3130A9DD0                               | 11054        | Federal Home Loan Bank     |                 | 09/29/2016    | 3,000,000.00 | 2,999,370.00 | 2,999,370.00 | 1.050       |     | 1.057   | 1,154            | 11/29/2019    |
| 3134G3K82                               | 10643        | Federal Home Loan Mtg Corp |                 | 09/27/2012    | 2,260,000.00 | 2,259,514.10 | 2,260,000.00 | 0.750       |     | 0.750   | 177              | 03/27/2017    |
| 3134G33Y4                               | 10671        | Federal Home Loan Mtg Corp |                 | 01/22/2013    | 2,000,000.00 | 1,996,090.00 | 2,000,000.00 | 0.950       |     | 0.950   | 478              | 01/22/2018    |
| 3134G33Y4                               | 10672        | Federal Home Loan Mtg Corp |                 | 01/22/2013    | 2,000,000.00 | 1,996,090.00 | 2,000,000.00 | 0.950       |     | 0.950   | 478              | 01/22/2018    |
| 3134G42G2                               | 10689        | Federal Home Loan Mtg Corp |                 | 04/30/2013    | 2,000,000.00 | 1,994,688.00 | 2,000,000.00 | 1.050       |     | 1.050   | 576              | 04/30/2018    |
| 3134G42G2                               | 10690        | Federal Home Loan Mtg Corp |                 | 04/30/2013    | 2,000,000.00 | 1,994,688.00 | 2,000,000.00 | 1.050       |     | 1.050   | 576              | 04/30/2018    |
| 3134G43F3                               | 10691        | Federal Home Loan Mtg Corp |                 | 04/30/2013    | 2,000,000.00 | 2,000,028.00 | 2,000,000.00 | 1.020       |     | 1.020   | 576              | 04/30/2018    |
| 3134G43F3                               | 10692        | Federal Home Loan Mtg Corp |                 | 04/30/2013    | 2,000,000.00 | 2,000,028.00 | 2,000,000.00 | 1.020       |     | 1.020   | 576              | 04/30/2018    |
| 3134G43F3                               | 10693        | Federal Home Loan Mtg Corp |                 | 04/30/2013    | 2,000,000.00 | 2,000,028.00 | 1,999,600.00 | 1.020       |     | 1.030   | 576              | 04/30/2018    |
| 3134G44E5                               | 10701        | Federal Home Loan Mtg Corp |                 | 05/22/2013    | 3,000,000.00 | 2,989,059.00 | 3,000,000.00 | 0.950       |     | 0.950   | 598              | 05/22/2018    |
| 3137EADS5                               | 10739        | Federal Home Loan Mtg Corp |                 | 08/22/2013    | 2,000,000.00 | 2,002,290.00 | 2,000,000.00 | 0.875       |     | 0.754   | 13               | 10/14/2016    |
| 3134G3S50                               | 10748        | Federal Home Loan Mtg Corp |                 | 10/03/2013    | 2,000,000.00 | 2,000,696.00 | 1,998,700.36 | 0.625       |     | 0.757   | 31               | 11/01/2016    |
| 3134G4QY7                               | 10782        | Federal Home Loan Mtg Corp |                 | 12/30/2013    | 2,000,000.00 | 2,004,290.00 | 2,000,000.00 | 0.750       |     | 0.750   | 90               | 12/30/2016    |
| 3137EADP1                               | 10904        | Federal Home Loan Mtg Corp |                 | 04/09/2015    | 2,000,000.00 | 1,997,790.00 | 2,000,000.00 | 0.875       |     | 0.875   | 522              | 03/07/2018    |
| 3137EADK2                               | 10927        | Federal Home Loan Mtg Corp |                 | 04/20/2015    | 2,000,000.00 | 2,007,018.00 | 1,999,820.12 | 1.250       |     | 1.253   | 1,034            | 08/01/2019    |
| 3137EADK2                               | 10929        | Federal Home Loan Mtg Corp |                 | 07/10/2015    | 2,000,000.00 | 2,007,018.00 | 1,990,599.59 | 1.250       |     | 1.389   | 1,034            | 08/01/2019    |
| 3137EADK2                               | 10932        | Federal Home Loan Mtg Corp |                 | 09/18/2015    | 2,000,000.00 | 2,007,018.00 | 1,987,770.85 | 1.250       |     | 1.430   | 1,034            | 08/01/2019    |
| 3134G44U9                               | 10997        | Federal Home Loan Mtg Corp |                 | 01/22/2016    | 1,000,000.00 | 1,004,368.00 | 1,000,816.49 | 1.500       |     | 1.480   | 1,420            | 08/21/2020    |
| 3134G8KU2                               | 11012        | Federal Home Loan Mtg Corp |                 | 02/26/2016    | 2,000,000.00 | 1,994,686.00 | 2,000,000.00 | 1.250       |     | 2.091   | 1,609            | 02/26/2021    |
| 3134G42J6                               | 11029        | Federal Home Loan Mtg Corp |                 | 05/03/2016    | 2,000,000.00 | 1,996,599.50 | 2,000,187.50 | 1.125       |     | 1.125   | 759              | 10/30/2018    |
| 3134G9RY5                               | 11040        | Federal Home Loan Mtg Corp |                 | 06/13/2016    | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 1.375       |     | 1.375   | 1,168            | 12/13/2019    |
| 3134G8S26                               | 11042        | Federal Home Loan Mtg Corp |                 | 03/28/2016    | 2,000,000.00 | 2,000,000.00 | 2,000,000.00 | 1.250       |     | 1.250   | 818              | 12/28/2018    |
| 3134G8QE2                               | 11043        | Federal Home Loan Mtg Corp |                 | 03/29/2016    | 2,000,000.00 | 2,000,000.00 | 2,000,000.00 | 1.300       |     | 1.300   | 909              | 03/29/2019    |
| 3134G9TY3                               | 11044        | Federal Home Loan Mtg Corp |                 | 06/30/2016    | 5,000,000.00 | 5,000,000.00 | 5,000,000.00 | 1.000       |     | 2.178   | 1,733            | 06/30/2021    |
| 3134G9QA8                               | 11045        | Federal Home Loan Mtg Corp |                 | 06/30/2016    | 3,000,000.00 | 3,000,000.00 | 3,000,000.00 | 1.800       |     | 1.800   | 1,733            | 06/30/2021    |
| 3136G1KD0                               | 10687        | Federal National Mtg Assn  |                 | 04/30/2013    | 2,000,000.00 | 1,998,702.00 | 2,000,000.00 | 1.000       |     | 1.000   | 576              | 04/30/2018    |
| 3136G1LE7                               | 10696        | Federal National Mtg Assn  |                 | 05/15/2013    | 2,000,000.00 | 1,994,208.00 | 2,000,000.00 | 1.010       |     | 1.010   | 591              | 05/15/2018    |
| 3135G0XD0                               | 10700        | Federal National Mtg Assn  |                 | 05/21/2013    | 2,000,000.00 | 1,993,906.00 | 2,000,000.00 | 1.000       |     | 1.000   | 597              | 05/21/2018    |
| 3135G0XK4                               | 10703        | Federal National Mtg Assn  |                 | 05/30/2013    | 2,000,000.00 | 1,993,664.00 | 2,000,000.00 | 1.050       |     | 1.050   | 601              | 05/25/2018    |
| 3135G0XK4                               | 10704        | Federal National Mtg Assn  |                 | 05/30/2013    | 2,000,000.00 | 1,993,664.00 | 2,000,000.00 | 1.050       |     | 1.050   | 601              | 05/25/2018    |
| 3135G0ZA4                               | 10832        | Federal National Mtg Assn  |                 | 04/03/2014    | 2,000,000.00 | 2,002,603.64 | 2,002,603.64 | 1.875       | AAA | 1.820   | 871              | 02/19/2019    |

**Humboldt Co. Treasurer's Pool  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2016**

| CUSIP                                   | Investment # | Issuer                    | Average Balance       | Purchase Date | Par Value             | Market Value          | Book Value            | Stated Rate | S&P | YTM 365      | Days to Maturity | Maturity Date |
|---|--------------|---------------------------|-----------------------|---------------|-----------------------|-----------------------|-----------------------|-------------|-----|--------------|------------------|---------------|
| <b>Federal Agency Coupon Securities</b> |              |                           |                       |               |                       |                       |                       |             |     |              |                  |               |
| 3135G0PP2                               | 10872        | Federal National Mtg Assn |                       | 12/08/2014    | 2,000,000.00          | 2,004,076.00          | 1,998,620.36          | 1.000       |     | 1.070        | 354              | 09/20/2017    |
| 3136G2CM7                               | 10897        | Federal National Mtg Assn |                       | 01/30/2015    | 2,000,000.00          | 2,007,900.00          | 2,000,000.00          | 1.500       |     | 1.500        | 851              | 01/30/2019    |
| 3135G0A78                               | 10902        | Federal National Mtg Assn |                       | 03/12/2015    | 2,000,000.00          | 2,029,718.00          | 1,995,906.62          | 1.625       |     | 1.686        | 1,207            | 01/21/2020    |
| 3136G2QN0                               | 10937        | Federal National Mtg Assn |                       | 10/28/2015    | 2,000,000.00          | 1,999,450.00          | 2,000,000.00          | 1.125       |     | 1.808        | 1,488            | 10/28/2020    |
| 3136G2QN0                               | 10942        | Federal National Mtg Assn |                       | 10/29/2015    | 2,000,000.00          | 1,999,450.00          | 2,000,000.00          | 1.125       |     | 1.808        | 1,488            | 10/28/2020    |
| 3136G2RR0                               | 10944        | Federal National Mtg Assn |                       | 11/19/2015    | 2,000,000.00          | 1,992,576.00          | 2,000,000.00          | 1.500       |     | 1.791        | 1,510            | 11/19/2020    |
| 3136G2S57                               | 10945        | Federal National Mtg Assn |                       | 11/25/2015    | 2,000,000.00          | 2,003,122.00          | 2,000,000.00          | 1.700       | AAA | 1.700        | 1,516            | 11/25/2020    |
| 3136G2SX8                               | 10957        | Federal National Mtg Assn |                       | 11/18/2015    | 1,000,000.00          | 1,000,697.00          | 1,000,000.00          | 1.100       |     | 1.100        | 808              | 12/18/2018    |
| 3136G2ST5                               | 10960        | Federal National Mtg Assn |                       | 11/25/2015    | 2,000,000.00          | 2,002,012.00          | 2,000,000.00          | 1.750       |     | 1.750        | 1,516            | 11/25/2020    |
| 3136G2TB3                               | 10961        | Federal National Mtg Assn |                       | 11/25/2015    | 1,275,000.00          | 1,276,449.68          | 1,275,000.00          | 1.800       |     | 1.800        | 1,516            | 11/25/2020    |
| 3135G0F73                               | 10970        | Federal National Mtg Assn |                       | 11/30/2015    | 2,000,000.00          | 2,006,568.00          | 1,968,345.20          | 1.500       |     | 1.870        | 1,521            | 11/30/2020    |
| 3135G0A78                               | 10972        | Federal National Mtg Assn |                       | 12/07/2015    | 2,000,000.00          | 2,029,718.00          | 1,997,656.60          | 1.625       |     | 1.660        | 1,207            | 01/21/2020    |
| 3136G1EE5                               | 10976        | Federal National Mtg Assn |                       | 12/10/2015    | 1,000,000.00          | 1,009,490.00          | 998,853.81            | 1.500       |     | 1.539        | 1,061            | 08/28/2019    |
| 3135G0D75                               | 10979        | Federal National Mtg Assn |                       | 12/15/2015    | 2,000,000.00          | 2,014,434.00          | 1,985,422.99          | 1.500       |     | 1.690        | 1,360            | 06/22/2020    |
| 3136G3KW4                               | 11026        | Federal National Mtg Assn |                       | 04/27/2016    | 2,100,000.00          | 2,100,132.30          | 2,100,000.00          | 1.450       |     | 1.450        | 1,304            | 04/27/2020    |
| 3135G0K69                               | 11032        | Federal National Mtg Assn |                       | 05/23/2016    | 5,000,000.00          | 4,947,135.28          | 4,946,490.28          | 1.250       |     | 1.480        | 1,678            | 05/06/2021    |
| 3136G3MK8                               | 11033        | Federal National Mtg Assn |                       | 05/25/2016    | 1,000,000.00          | 995,161.00            | 1,000,000.00          | 1.350       |     | 1.350        | 1,150            | 11/25/2019    |
| 3135G0N82                               | 11048        | Federal National Mtg Assn |                       | 09/07/2016    | 3,000,000.00          | 3,001,575.00          | 3,001,575.00          | 1.250       |     | 1.252        | 1,781            | 08/17/2021    |
| 3136G35Q4                               | 11051        | Federal National Mtg Assn |                       | 09/15/2016    | 4,000,000.00          | 4,000,000.00          | 4,000,000.00          | 1.550       |     | 1.550        | 1,810            | 09/15/2021    |
| 3136G35Y7                               | 11053        | Federal National Mtg Assn |                       | 09/28/2016    | 5,000,000.00          | 5,000,000.00          | 5,000,000.00          | 1.550       |     | 1.550        | 1,823            | 09/28/2021    |
| 3136G4AH6                               | 11055        | Federal National Mtg Assn |                       | 09/30/2016    | 5,000,000.00          | 5,000,000.00          | 5,000,000.00          | 1.625       |     | 1.625        | 1,825            | 09/30/2021    |
| 3136G4AB9                               | 11056        | Federal National Mtg Assn |                       | 09/30/2016    | 3,000,000.00          | 3,000,000.00          | 3,000,000.00          | 1.500       |     | 1.500        | 1,551            | 12/30/2020    |
| 459058FC2                               | 11025        | Internation Bank          |                       | 04/26/2016    | 2,000,000.00          | 1,995,359.44          | 2,001,319.44          | 1.250       |     | 1.250        | 937              | 04/26/2019    |
| <b>Subtotal and Average</b>             |              |                           | <b>215,484,953.07</b> |               | <b>230,324,000.00</b> | <b>230,614,925.32</b> | <b>230,249,662.49</b> |             |     | <b>1.391</b> | <b>1,110</b>     |               |
| <b>Treasury Coupon Securities</b>       |              |                           |                       |               |                       |                       |                       |             |     |              |                  |               |
| 912828XE5                               | 10956        | U.S. Treasury             |                       | 11/17/2015    | 2,000,000.00          | 2,019,218.00          | 1,995,379.82          | 1.500       |     | 1.560        | 1,338            | 05/31/2020    |
| 912828VF4                               | 10977        | U.S. Treasury             |                       | 12/11/2015    | 2,000,000.00          | 2,010,546.00          | 1,982,106.55          | 1.375       |     | 1.608        | 1,338            | 05/31/2020    |
| 912828L32                               | 10978        | U.S. Treasury             |                       | 12/11/2015    | 2,000,000.00          | 2,006,562.00          | 1,980,332.48          | 1.375       |     | 1.603        | 1,430            | 08/31/2020    |
| <b>Subtotal and Average</b>             |              |                           | <b>5,957,818.85</b>   |               | <b>6,000,000.00</b>   | <b>6,036,326.00</b>   | <b>5,957,818.85</b>   |             |     | <b>1.590</b> | <b>1,369</b>     |               |
| <b>Municipal Bonds</b>                  |              |                           |                       |               |                       |                       |                       |             |     |              |                  |               |
| 13063CPN4                               | 10873        | State of California       |                       | 12/11/2014    | 2,000,000.00          | 2,005,420.00          | 1,999,117.31          | 1.250       |     | 1.280        | 396              | 11/01/2017    |
| <b>Subtotal and Average</b>             |              |                           | <b>1,999,117.31</b>   |               | <b>2,000,000.00</b>   | <b>2,005,420.00</b>   | <b>1,999,117.31</b>   |             |     | <b>1.280</b> | <b>396</b>       |               |

**Humboldt Co. Treasurer's Pool  
Portfolio Management  
Portfolio Details - Investments  
September 30, 2016**

| CUSIP                                     | Investment # | Issuer                | Average Balance       | Purchase Date | Par Value             | Market Value          | Book Value            | Stated Rate | S&P | YTM 365      | Days to Maturity | Maturity Date |
|---|--------------|-----------------------|-----------------------|---------------|-----------------------|-----------------------|-----------------------|-------------|-----|--------------|------------------|---------------|
| <b>Pass Through Securities (GNMA/CMO)</b> |              |                       |                       |               |                       |                       |                       |             |     |              |                  |               |
| 36218F3L0                                 | 10147        | Govt Nat. Mort. Assc. |                       | 07/01/2008    | 167.99                | 169.46                | 165.42                | 8.000       | AAA | 8.468        | 196              | 04/15/2017    |
| 36217TRS0                                 | 10151        | Govt Nat. Mort. Assc. |                       | 07/01/2008    | 0.00                  | 0.00                  | 0.00                  | 8.000       | AAA | 8.672        | 0                | 04/15/2017    |
| <b>Subtotal and Average</b>               |              |                       | <b>165.42</b>         |               | <b>167.99</b>         | <b>169.46</b>         | <b>165.42</b>         |             |     | <b>8.468</b> | <b>196</b>       |               |
| <b>Total and Average</b>                  |              |                       | <b>304,997,834.74</b> |               | <b>315,313,281.41</b> | <b>315,645,954.20</b> | <b>315,195,877.49</b> |             |     | <b>1.168</b> | <b>860</b>       |               |