The Headwaters Fund invests in projects through grants and loans with the goal of helping the citizens of Humboldt County achieve increased economic opportunity, prosperity, and quality of life.

1. Opening (9:00 a.m.)
   a. Approval of minutes from January 14, 2020 - (9:00) - (Attachment 1)
   b. Public comment on non-agenda items
   c. Report out on meetings attended

2. Review and Approve RREDC Quarterly Report (9:10) – (Attachment 2)
   a. Discussion
   b. Public Comment
   c. Action

3. Review and Approve AEDC Quarterly Report (9:20) – (Attachment 3)
   a. Discussion
   b. Public Comment
   c. Action

4. Recommend to the BOS that Dianna Rios be reappointed to the HWFB - (9:30)
   a. Discussion
   b. Public Comment
   c. Action

5. Review and vote on slate for Chair/Vice-Chair as presented by Nominating Committee – (9:40)
   a. Discussion
   b. Public Comment
   c. Action

6. Review and vote on Playhouse Arcata Mini Grant - (9:50) – (Attachment 4)
   a. Discussion
   b. Public Comment
   c. Action

7. Hoopa Valley Tribe Competitive Grant Application Presentation and Q/A by the HWF Board – (10:05) – (Attachment 5)(Full Application Available Online)
   a. Discussion
   b. Public Comment
   c. Action

8. Westside Community Improvement Association Competitive Grant Application Presentation and Q/A by the HWF Board – (10:45) –(Attachment 6) (Full Application Available Online)
   a. Discussion
   b. Public Comment
   c. Action
9. Humboldt NeuroHealth Therapeutic Services Competitive Grant Application Presentation and Q/A by the HWF Board – (11:25) – (Attachment 7) (Full Application Available Online)
   a. Discussion
   b. Public Comment
   c. Action

10. Break for Lunch – (12:05)

11. Humboldt Bay Harbor, Recreation and Conservation District Competitive Grant Application Presentation and Q/A by the HWF Board – (1:05) – (Attachment 8) (Full Application Available Online)
   a. Discussion
   b. Public Comment
   c. Action

12. Redwood Region Entertainment and Education Liaisons Competitive Grant Application Presentation and Q/A by the HWF Board – (1:45) – (Attachment 9) (Full Application Available Online)
   a. Discussion
   b. Public Comment
   c. Action

13. City of Blue Lake Competitive Grant Application Presentation and Q/A by the HWF Board – (2:25) – (Attachment 10) (Full Application Available Online)
   a. Discussion
   b. Public Comment
   c. Action

14. Staff and Board updates - (3:05)
   a. Discussion
   b. Public Comment

15. Adjourn

Next Meeting: March 10, 2020
AGENDA DETAIL

AGENDA ITEM 2
DISCUSSION
Gregg Foster to present quarterly report for Headwaters Fund Revolving Loan Fund activities performed by Redwood Region Economic Development Commission

Action: Approve or Deny Report

AGENDA ITEM 3
DISCUSSION
Jayne Lovig to present quarterly report for Headwaters Fund Revolving Loan Fund activities performed by Arcata Economic Development Corporation

Action: Approve or Deny Report

AGENDA ITEM 4
DISCUSSION
Headwaters Fund Board to vote on the recommendation to the Board of Supervisors that Dianna Rios be reappointed to the Headwaters Fund Board for her third and final term, term is for three-years. Dianna was originally appointed in May 2015 and reappointed in 2017.

Action: Vote to Recommend Dianna Rios to the BOS for Reappointment

AGENDA ITEM 5
DISCUSSION
Nominating Committee of current chair and former chair, Carl Hansen and Chuck Ellsworth, to present slate of candidates for chair and vice-chair for April 2020 through March 2021.

Action: Vote on Chair and Vice-Chair

AGENDA ITEM 6
DISCUSSION
Board to review and vote on Playhouse Arcata mini-grant application to perform planning activities related to the expansion of the Local Arts Agency and furthering collaboration with the City of Arcata.

Action: Vote to approve or deny funding

AGENDA ITEM 7
DISCUSSION
Board to hear a presentation on the Hoopa Valley Tribe’s competitive grant application pertaining to the expansion and refinement of the processes of their sawmill operations. Applicant will be given ten minutes to present the project followed by twenty minutes of Q/A by the HWF Board.

Action: Approve or deny placement onto final grant review slate

AGENDA ITEM 8
DISCUSSION
Board to hear a presentation on the Westside Community Improvement Association’s competitive grant application pertaining to the creation of a service industry training facility. Applicant will be given ten minutes to present the project followed by twenty minutes of Q/A by the HWF Board.

Action: Approve or deny placement onto final grant review slate

AGENDA ITEM 9
DISCUSSION
Board to hear a presentation on the Humboldt NeuroHealth Therapeutic Services’ competitive grant application pertaining to the relocation and expansion of their facility offering mental health services. Applicant will be given ten minutes to present the project followed by twenty minutes of Q/A by the HWF Board.

Action: Approve or deny placement onto final grant review slate

AGENDA ITEM 11

DISCUSSION
Board to hear a presentation on the Humboldt Bay Harbor, Recreation and Conservation District’s competitive grant application pertaining to the planning and review components of the offshore wind energy project. Applicant will be given ten minutes to present the project followed by twenty minutes of Q/A by the HWF Board.

Action: Approve or deny placement onto final grant review slate

AGENDA ITEM 12

DISCUSSION
Board to hear a presentation on the Redwood Region Entertainment and Education Liaisons’ competitive grant application pertaining to the upcoming Familiarity Tour 2020 which will bring studio executives and site scouts to the County of Humboldt. Applicant will be given ten minutes to present the project followed by twenty minutes of Q/A by the HWF Board.

Action: Approve or deny placement onto final grant review slate

AGENDA ITEM 13

DISCUSSION
Staff report to the Headwaters Fund Board.

Adjourn
### RREDC - Headwaters Fund Loan Portfolio Performance - Cash and Accrual Basis

<table>
<thead>
<tr>
<th>Period</th>
<th>Period End Loan Balance</th>
<th>Average Loan Balance*</th>
<th>Interest Paid HWF**</th>
<th>Cash Basis Yield***</th>
<th>Change in HWF Accrued Interest</th>
<th>Interest (Cash and Accrued)</th>
<th>Interest Capitalized This Period</th>
<th>Accrual Basis Yield</th>
<th>Loan Losses/Recovery this Period</th>
<th>Net Return Including Recoveries/Losses</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oct - Dec 2019</td>
<td>2,680,141</td>
<td>2,674,324</td>
<td>37,086</td>
<td>5.55%</td>
<td>(263)</td>
<td>41,843</td>
<td>8,383</td>
<td>5.87%</td>
<td>394</td>
<td>2,148</td>
</tr>
<tr>
<td>Jul - Sep 2019</td>
<td>2,668,507</td>
<td>2,680,019</td>
<td>42,106</td>
<td>6.28%</td>
<td>(263)</td>
<td>41,843</td>
<td>8,383</td>
<td>5.87%</td>
<td>394</td>
<td>2,148</td>
</tr>
<tr>
<td>2018-2019*****</td>
<td>2,691,531</td>
<td>2,708,601</td>
<td>160,645</td>
<td>5.93%</td>
<td>(1,577)</td>
<td>159,068</td>
<td>8,835</td>
<td>5.87%</td>
<td>7,881</td>
<td>6.49%</td>
</tr>
<tr>
<td>2017-2018</td>
<td>2,725,671</td>
<td>2,915,692</td>
<td>171,525</td>
<td>5.88%</td>
<td>(1,467)</td>
<td>170,059</td>
<td>-</td>
<td>5.83%</td>
<td>7,623</td>
<td>6.09%</td>
</tr>
<tr>
<td>2016-2017</td>
<td>3,105,712</td>
<td>2,888,199</td>
<td>157,554</td>
<td>5.46%</td>
<td>3,301</td>
<td>160,855</td>
<td>-</td>
<td>5.57%</td>
<td>4,179</td>
<td>5.71%</td>
</tr>
<tr>
<td>2015-2016</td>
<td>2,670,685</td>
<td>2,494,823</td>
<td>143,092</td>
<td>5.74%</td>
<td>4,167</td>
<td>147,259</td>
<td>-</td>
<td>5.90%</td>
<td>36,273</td>
<td>7.36%</td>
</tr>
<tr>
<td>2014-2015</td>
<td>2,318,961</td>
<td>2,247,540</td>
<td>84,915</td>
<td>3.78%</td>
<td>107</td>
<td>85,022</td>
<td>-</td>
<td>3.78%</td>
<td>(98,784)</td>
<td>-0.61%</td>
</tr>
<tr>
<td>2013-2014</td>
<td>2,176,119</td>
<td>2,290,930</td>
<td>86,969</td>
<td>3.80%</td>
<td>(18,196)</td>
<td>68,773</td>
<td>-</td>
<td>3.00%</td>
<td>-</td>
<td>3.00%</td>
</tr>
<tr>
<td>2012-2013</td>
<td>2,405,741</td>
<td>2,429,923</td>
<td>114,907</td>
<td>4.73%</td>
<td>7,989</td>
<td>122,896</td>
<td>4,904</td>
<td>5.26%</td>
<td>-</td>
<td>5.26%</td>
</tr>
<tr>
<td>2011-2012</td>
<td>2,454,105</td>
<td>2,058,467</td>
<td>86,923</td>
<td>4.22%</td>
<td>1,849</td>
<td>88,772</td>
<td>2,016</td>
<td>4.41%</td>
<td>-</td>
<td>4.41%</td>
</tr>
<tr>
<td>2010-2011</td>
<td>1,662,828</td>
<td>1,633,288</td>
<td>74,518</td>
<td>4.56%</td>
<td>8,282</td>
<td>82,800</td>
<td>-</td>
<td>4.41%</td>
<td>(18,096)</td>
<td>-0.61%</td>
</tr>
<tr>
<td>2009-2010</td>
<td>1,600,748</td>
<td>1,753,866</td>
<td>95,908</td>
<td>5.47%</td>
<td>(7,383)</td>
<td>88,525</td>
<td>-</td>
<td>5.07%</td>
<td>-</td>
<td>5.07%</td>
</tr>
<tr>
<td>2008-2009</td>
<td>1,903,983</td>
<td>1,795,369</td>
<td>79,059</td>
<td>4.40%</td>
<td>231</td>
<td>79,290</td>
<td>21,106</td>
<td>5.59%</td>
<td>-</td>
<td>5.59%</td>
</tr>
<tr>
<td>2007-2008</td>
<td>1,686,755</td>
<td>1,730,138</td>
<td>88,739</td>
<td>5.13%</td>
<td>6,297</td>
<td>95,036</td>
<td>-</td>
<td>5.49%</td>
<td>-</td>
<td>5.49%</td>
</tr>
<tr>
<td>2006-2007</td>
<td>1,773,521</td>
<td>1,712,020</td>
<td>86,796</td>
<td>5.07%</td>
<td>6,109</td>
<td>92,905</td>
<td>312</td>
<td>5.44%</td>
<td>-</td>
<td>5.44%</td>
</tr>
<tr>
<td>2005-2006</td>
<td>1,650,518</td>
<td>1,699,646</td>
<td>97,874</td>
<td>5.76%</td>
<td>(3,173)</td>
<td>94,703</td>
<td>1,215</td>
<td>5.64%</td>
<td>-</td>
<td>5.64%</td>
</tr>
<tr>
<td>2004-2005</td>
<td>1,748,774</td>
<td>1,584,545</td>
<td>62,486</td>
<td>3.94%</td>
<td>3,350</td>
<td>65,836</td>
<td>9,523</td>
<td>4.76%</td>
<td>-</td>
<td>4.76%</td>
</tr>
<tr>
<td>2003-2004</td>
<td>1,420,315</td>
<td>710,158</td>
<td>4,739</td>
<td>0.67%</td>
<td>2,715</td>
<td>7,454</td>
<td>13,299</td>
<td>2.92%</td>
<td>-</td>
<td>2.92%</td>
</tr>
</tbody>
</table>

Totals:
1,675,843
1,691,474
62,077
($6,855)

---

*Average loan balance is calculated as the average of the beginning and ending balance of the period.

**Actual cash paid to HWF.

***Interest paid/average loan balance. Annualized for quarterly reports.

****Figures are unaudited and subject to revision.

---

**Graph:**

- **Title:** Year-End Loan Balances and Cash Payments
- **X-axis:** Time (years)
- **Y-axis:** Loan Balances in Thousands
- **Data Points:** Loan Balances for each year from 2003-2004 as shown in the table.
- **Legend:**
  - Period End Loan Balance
  - Interest Paid HWF

---

- **Footer:**
  - Source: Headwaters Fund Quarterly Loan Portfolio Report
  - Managed Loans: RREDC
# Headwaters Fund Quarterly Loan Portfolio Report

**AEDC Managed Loans**

as of December 31, 2019

## AEDC - Headwaters Fund Loan Portfolio Performance - Cash and Accrual Basis

<table>
<thead>
<tr>
<th>Period</th>
<th>Period End Loan Balance</th>
<th>Average Loan Balance**</th>
<th>Interest Only Paid HDF***</th>
<th>Cash Basis Yield****</th>
<th>Change in HWF Accrued Interest</th>
<th>Total HWF Interest (Cash and Accrued)</th>
<th>Interest Capitalized This Period</th>
<th>Accrual Basis Yield</th>
<th>Loan Losses/Recovery this Period</th>
<th>Net Return Including Recoveries/Losses</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2020*</td>
<td>2,051,360</td>
<td>2,651,181</td>
<td>48,071</td>
<td>5.60%</td>
<td>-</td>
<td>48,071</td>
<td>-</td>
<td>5.70%</td>
<td>-</td>
<td>5.60%</td>
</tr>
<tr>
<td>FY 2019</td>
<td>3,251,001</td>
<td>3,023,221</td>
<td>166,792</td>
<td>5.52%</td>
<td>-</td>
<td>166,792</td>
<td>-</td>
<td>5.52%</td>
<td>-</td>
<td>5.52%</td>
</tr>
<tr>
<td>FY 2018</td>
<td>2,795,441</td>
<td>2,841,802</td>
<td>152,295</td>
<td>5.36%</td>
<td>3,972</td>
<td>156,267</td>
<td>5.50%</td>
<td>(73,821)</td>
<td>2.90%</td>
<td>-</td>
</tr>
<tr>
<td>FY 2017</td>
<td>2,888,163</td>
<td>2,478,044</td>
<td>116,861</td>
<td>4.72%</td>
<td>15,901</td>
<td>132,762</td>
<td>5.36%</td>
<td>-</td>
<td>5.36%</td>
<td>-</td>
</tr>
<tr>
<td>FY 2016</td>
<td>2,057,926</td>
<td>2,182,185</td>
<td>112,390</td>
<td>5.15%</td>
<td>2,324</td>
<td>114,714</td>
<td>5.26%</td>
<td>-</td>
<td>5.26%</td>
<td>-</td>
</tr>
<tr>
<td>FY 2015</td>
<td>2,296,444</td>
<td>2,097,853</td>
<td>98,641</td>
<td>4.70%</td>
<td>5,839</td>
<td>104,480</td>
<td>4.98%</td>
<td>(13,665)</td>
<td>4.33%</td>
<td>-</td>
</tr>
<tr>
<td>FY 2014</td>
<td>1,899,261</td>
<td>1,695,036</td>
<td>82,533</td>
<td>4.87%</td>
<td>1,496</td>
<td>84,029</td>
<td>4.96%</td>
<td>(17,000)</td>
<td>3.95%</td>
<td>-</td>
</tr>
<tr>
<td>FY 2013</td>
<td>1,450,811</td>
<td>1,747,499</td>
<td>86,516</td>
<td>4.95%</td>
<td>11,581</td>
<td>98,097</td>
<td>5.61%</td>
<td>-</td>
<td>5.61%</td>
<td>-</td>
</tr>
<tr>
<td>FY 2012</td>
<td>2,004,188</td>
<td>2,134,658</td>
<td>98,896</td>
<td>4.63%</td>
<td>12,079</td>
<td>110,975</td>
<td>5.20%</td>
<td>(194,922)</td>
<td>-3.93%</td>
<td>-</td>
</tr>
<tr>
<td>FY 2011</td>
<td>2,265,129</td>
<td>1,801,579</td>
<td>78,925</td>
<td>4.38%</td>
<td>9,703</td>
<td>88,629</td>
<td>4.92%</td>
<td>(20,873)</td>
<td>3.76%</td>
<td>-</td>
</tr>
<tr>
<td>Totals</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>(320,282)</td>
</tr>
</tbody>
</table>

*07/01/2019 - 12/31/2019*

**Average loan balance is calculated as the average of the beginning and ending balance of the year as presented in the annual audit.

***Interest paid to HDF.

****Interest paid (annualized) / average loan balance:

<table>
<thead>
<tr>
<th>Date</th>
<th>Interest Paid</th>
<th>Basis</th>
</tr>
</thead>
<tbody>
<tr>
<td>9/30/2019</td>
<td>37,724</td>
<td>actual</td>
</tr>
<tr>
<td>12/31/2019</td>
<td>46,014</td>
<td>actual</td>
</tr>
<tr>
<td>3/31/2020</td>
<td>30,812</td>
<td>projected</td>
</tr>
<tr>
<td>6/30/2020</td>
<td>31,331</td>
<td>projected</td>
</tr>
</tbody>
</table>

| Total    | 147,938      | Annualized|
January 29, 2020

Dear Headwaters Fund Directors,

The Arcata Playhouse, as Local Arts Agency for the city of Arcata, wishes to apply for a **$5,000 planning grant** to further develop the structure of the LAA and strengthen its relationship with the City of Arcata.

The project, **CREATIVE ARCATA**, addresses the following issues listed in your Mini-Grant instructions:

**Describe how your project will lead to future economic development and improved quality of life for Humboldt County residents •**

Working together, the City of Arcata and its Local Arts Agency will build entrepreneurial opportunities for artists, expand city leadership’s ability to draw on the creative thinkers in the arts community and assure that quality of life for Arcata residents will be enhanced through thoughtful arts involvement.

**Expected economic impact (in measurable terms)**

- By working closely with the City, *Creative Arcata* will create a structure and establish protocol for future funding/investment opportunities and provide clear channels for communications, effective marketing and advocacy.
- Increased communication between businesses, residents and artists will develop new avenues for funding for artists and create artist input on civic developments such as housing, transportation, and education.
- State and national funding is leveraged by demonstrating active partnerships between the City and its LAA.
- *Creative Arcata* will build the capacity for cultural tourism; Americans for the Arts data shows that each arts attendee spends $24.60 per event in addition to the cost of admission. When a community attracts cultural tourists, it harnesses significant economic benefits.

We appreciate this opportunity and look forward to hearing from you.

Yours truly,

Jacqueline Dandeneau, Executive-Artistic Director
The Arcata Playhouse
<table>
<thead>
<tr>
<th>Project Expense Item</th>
<th>Total Cost ($)</th>
<th>Requested Amount from Headwaters Grant Fund</th>
<th>Amount from Matching Funds</th>
<th>Source of Matching Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Direct Salaries &amp; Wages</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(breakdown by individual position &amp; indicate full or part-time; list indirect staff costs in “Overhead-staff related” section below)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Staff 1: Executive Director / Project Manager (% of annual wage)</td>
<td>$8000.00</td>
<td>$2000.00</td>
<td>$6000.00</td>
<td>California Arts Council / Playhouse Arts</td>
</tr>
<tr>
<td>Marketing</td>
<td>$4000.00</td>
<td>$500.00</td>
<td>$3500.00</td>
<td>California Arts Council / Playhouse Arts</td>
</tr>
<tr>
<td>Facilities / Rental Manager</td>
<td>$2000.00</td>
<td>$500.00</td>
<td>$1500.00</td>
<td>California Arts Council</td>
</tr>
<tr>
<td>Consultant &amp; professional fees (specify)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Consultant: Greenway</td>
<td>$8000.00</td>
<td>$2000.00</td>
<td>$6000.00</td>
<td>California Arts Council</td>
</tr>
<tr>
<td>Marketing: advertising, print</td>
<td>$1500.00</td>
<td></td>
<td>$1500.00</td>
<td>California Arts Council / Playhouse Arts</td>
</tr>
<tr>
<td>Rentals: Facilities</td>
<td>$1500.00</td>
<td></td>
<td>$1500.00</td>
<td>California Arts Council</td>
</tr>
<tr>
<td>Travel (describe)</td>
<td>$0.00</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equipment (specify)</td>
<td>$0.00</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Overhead - non-staff related</td>
<td>$1000.00</td>
<td></td>
<td>$1000.00</td>
<td>Playhouse Arts</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$26000.00</td>
<td>$5000.00</td>
<td>$21000.00</td>
<td></td>
</tr>
</tbody>
</table>

Income

California Arts: this grant has been confirmed and the contracts executed $16000.00

Playhouse Arts: These funds will be taken from our earned income and individual donations $5000.00
The Headwaters Fund
Grant Fund Application Coversheet

Date of application: January 15, 2020

Organization Name: Hoopa Valley Tribe

Director/CEO: Byron Nelson, Jr. Chairman

Contact Person Name and Title: Joe Davis, Councilmember

Contact Phone: 530-625-4211    Contact Email: jgd119@humboldt.edu

Contact Address: PO Box 1348 Hoopa, CA 95546

Total current year organizational budget: $90m    # of FTE employees: 800

Summarize the organization’s mission (in the space provided): To preserve, promote and protect the culture, sovereignty and natural resources of our Hoopa Valley Tribe, and create long-term economic prosperity and self-reliance for future generations.

Project title: Sawmill Enhancements at Hoopa Forest Industries

Please provide a less than 250 word summary of your project which answers the following questions: How will your project lead to improving the local economy and increasing the quality of life for local residents? What exactly are you going to do and for whom? Why is it necessary? What will be accomplished? How will you accomplish this?

The proposed project will enhance the market competitiveness of the Hoopa Forest Industries forestry products by expanding the use and products of their sawmill. This will help create new Forest Stewardship Council-certified, high quality products that can reach outside markets, as well as produce goods that can supply Tribal entities. For example, the future redevelopment of the Tribe’s Modular Plant to build tiny homes will need wood products that could be produced at the mill. This project will create at least 2 new jobs and help in retaining another 3 positions at the sawmill. Moreover, this project will minimize waste of existing forestry products and increase environmental stewardship of our precious timberlands. To accomplish these outcomes, the Tribe will procure an extension, resaw/moulder, and planer that can be used to enhance the existing sawmill owned and operated by the Hoopa Forest Industries and the Hoopa Valley Tribe.

Amount requested: $58,202    Total project cost: $116,425

Grant timeline: Period covered: 2/1/20 to 1/31/21

Total match amount: $58,222 Match amount as % of project budget 50% (Required 50% match for implementation, 25% for planning or technical assistance)

Cash match: $52,520    In-kind match: $5,702 Cash match as 45% of budget (Required: 25% for implementation, 12% for planning or technical assistance)

Number of new FTE jobs created, if funded: 2
Number of FTE jobs retained, if funded (jobs that would otherwise be eliminated): 3
Type of project:  
☐ Planning  
☒ Implementation

Geographic focus of project: Hoopa Valley Indian Reservation

Number of permanent, long term, private sector jobs to be created:

Which Industry is your project working with (check off all that apply):

☐ Diversified Health Care  
☐ Specialty Food, Flowers and Beverages  
☒ Building and Systems Construction  
☐ Investment Support Services  
☐ Management and Innovation Services  
☐ Niche Manufacturing  
☐ Tourism  
☐ Forest Products  
☐ Arts and Culture  
☐ Alternative Agriculture

Strategy being employed to promote economic development (check off all that apply):

☒ Supporting development of pre-permitted commercial space  
☐ Reducing regulatory bottlenecks for business retention or creation  
☒ Developing new strategies for economic development  
☐ Providing access to external markets or plucks the economic leaks  
☒ Retaining and growing existing businesses  
☐ Providing workforce training  
☐ Increasing the number of new businesses  
☐ Leveraging future funding or projects  
☐ Reducing poverty by helping people to develop business skills  
☐ Other (describe): Improve market competitiveness

Are any of the following components required, and if so are they in place already? (check off in left column if required, then check off “yes” or “no” for if they are in place already)

☐ Building permits  
☒ Market research  
☐ Legal review  
☐ Regulatory approval  
☐ Consultants hired  
☒ Staff hired
The Headwaters Fund
Grant Fund Application Coversheet

Date of application: 01/15/2020

Organization Name: Westside Community Improvement Association

Director/CEO: Heidi Benzonelli, President

Contact Person Name and Title: Heidi Benzonelli, President

Contact Phone: 707-498-5764    Contact Email: lets.buy.jefferson@gmail.com

Contact Address: PO BOX 5315 ||| EUREKA, CA 95502

Total current year organizational budget: $538,400  # of FTE employees: 6

Summarize the organization’s mission (in the space provided):
The Westside Community Improvement Association, Inc. (a non-profit 501(c)(3) Public Charity) supports and facilitates programs to combat urban blight and revitalize neighborhoods by increasing recreational, educational and vocational opportunities where they are either lacking altogether or insufficient to the needs of the community. The Association’s activities are dedicated to fostering a sense of working together for the benefit of the greater Eureka community.

Project title: J-Café

Please provide a less than 250 word summary of your project which answers the following questions: How will your project lead to improving the local economy and increasing the quality of life for local residents? What exactly are you going to do and for whom? Why is it necessary? What will be accomplished? How will you accomplish this?

The proposed project will build and run J-Café. The construction will be a collaborative effort with the Employment Training Division, College of the Redwoods and WCIA training Prison to Employment Participants in renovation of a Historic, Streamline Modern School room into J-Café. J-Cafe will serve to train marginalized workers from CalWorks, Re-entry from incarceration, Department of Rehab and other apprentices during the day and teens after school in “5 Star Service and Hospitality”. We will set and train to the highest standards. Apprentices will dress the part, learn how to greet customers, and provide a memorable experience. Every facet of the experience will be the highest quality, from the moment you walk in the door to the closing and cleaning of the cafe. Apprentices will complete comprehensive training and time at J-Café to practice and build skills, with training plans uniquely adapted to each individual's professional development goals.

Our apprentices will connect with Express Employment to scout employers and pipeline the highest qualified service personnel into our community to “UP Humboldt’s Game”. Just as we have become known for training some of the top-performing folks in the social work field, renovation workers and commercial kitchen staff, J-Café’s long game is to profoundly improve the hospitality and tourism industry in Eureka and Humboldt County.
Amount requested: $18,825   Total project cost:   $74,220

Grant timeline:  Period covered:  January 15, 2020 to October 13, 2021
We are Respectfully Requesting to be able to start spending immediately “at our own risk” in order to align with the CR schedule for Prison to Employment Construction Trainees, to enhance their opportunities for training and exposure to a broad range of construction renovation skills.

Total match amount:  $55,395
Match amount as % of project budget 74%
(Required 50% match for implementation, 25% for planning)

Cash match:  $44,595  In-kind match:  $10,800
Cash match as % of budget 60%
(Required: 25% for implementation, 12% for planning)

Number of new FTE jobs created, if funded:  13
Number of FTE jobs retained, if funded (jobs that would otherwise be eliminated):  0

Type of project:  ☑ Implementation

Geographic focus of project: Primarily Greater Eureka, Humboldt County Tourist Region

Number of permanent, long term, private sector jobs to be created: 13

Which Industry is your project working with (check off all that apply):

☐ Diversified Health Care
☒ Specialty Food, Flowers and Beverages
☐ Building and Systems Construction
☐ Investment Support Services
☐ Management and Innovation Services
☐ Niche Manufacturing
☒ Tourism
☐ Forest Products
☐ Arts and Culture
☐ Alternative Agriculture

Strategy being employed to promote economic development (check off all that apply):

☐ Supporting development of pre-permitted commercial space
☐ Reducing regulatory bottlenecks for business retention or creation
☒ Supporting economic development infrastructure
☐ Developing new strategies for economic development
☐ Providing access to external markets or plugs the economic leaks
☐ Retaining and growing existing businesses
☐ Providing workforce training
☐ Increasing the number of new businesses
☐ Leveraging future funding or projects
☒ Reducing poverty by helping people to develop business skills
The Headwaters Fund
Grant Fund Application Coversheet

Date of application: January 14, 2020

Organization Name: Humboldt NeuroHealth Therapeutic Services

Director/CEO: Jennifer Brown

Contact Person Name and Title: Jennifer Brown, Executive Director

Contact Phone: 707-498-4845  Contact Email: Jennifer.Brown@humboldtneurohealth.org

Contact Address: 2145 Myrtle Ave Eureka CA 95501

Total current year organizational budget: 740,000  # of FTE employees: 4

Summarize the organization’s mission (in the space provided):
Our Mission is to cultivate wellness by supporting the healing and strengthening of individuals and families in order to lift and empower our community.

Project title: HNH Expansion

Please provide a less than 250 word summary of your project which answers the following questions: How will your project lead to improving the local economy and increasing the quality of life for local residents? What exactly are you going to do and for whom? Why is it necessary? What will be accomplished? How will you accomplish this?

Humboldt NeuroHealth prides itself on offering high quality, evidenced based, and cutting edge services to individuals in the community. Our project will create 4 new full-time positions, and will allow the ongoing expansion of 1-2 new full time employees per year. The expansion will also serve an unmet need in the community that significantly impacts people's mental health, which directly impacts people's ability to be employed and thrive in the workforce. There is a shortage of skilled medical and mental health professionals in Humboldt County. The expansion of Humboldt NeuroHealth will both create jobs which meets the needs of economic development as well as contributes to the mental health needs in the community. We will continue to put efforts into growing the agency to provide jobs and services to Humboldt County on going. This project is necessary because Humboldt County needs more clinicians to provide healing and we are also creating jobs to support a self sustaining community.

Amount requested: 34,000  Total project cost: 68,000

Grant timeline: Period covered: March 1, 2020 to December 31, 2020

Total match amount: 34,000 Match amount as % of project budget 50%
(Required 50% match for implementation, 25% for planning)

Cash match: 0 In-kind match: 34,000 Cash match as % of budget 50%
(Required: 25% for implementation, 12% for planning)

Number of new FTE jobs created, if funded: 4+
Number of FTE jobs retained, if funded (jobs that would otherwise be eliminated): 0

Type of project: ☐Planning  ☑Implementation
Geographic focus of project: Eureka

Number of permanent, long term, private sector jobs to be created: 4+

**Which Industry is your project working with (check off all that apply):**

- [x] Diversified Health Care
- [ ] Specialty Food, Flowers and Beverages
- [ ] Building and Systems Construction
- [ ] Investment Support Services
- [ ] Management and Innovation Services
- [ ] Niche Manufacturing
- [ ] Tourism
- [ ] Forest Products
- [ ] Arts and Culture
- [ ] Alternative Agriculture

**Strategy being employed to promote economic development (check off all that apply):**

- [ ] Supporting development of pre-permitted commercial space
- [x] Reducing regulatory bottlenecks for business retention or creation
- [ ] Supporting economic development infrastructure
- [ ] Developing new strategies for economic development
- [ ] Providing access to external markets or plugs the economic leaks
- [x] Retaining and growing existing businesses
- [ ] Providing workforce training
- [ ] Increasing the number of new businesses
- [ ] Leveraging future funding or projects
- [ ] Reducing poverty by helping people to develop business skills
- [ ] Other (describe):

Are any of the following components required, and if so are they in place already? (check off in left column if required, then check of “yes” or “no” for if they are in place already)

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<td>Consultants hired</td>
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<tr>
<td>Staff hired</td>
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Summarize the organization’s mission (in the space provided):
Humboldt County tidelands, bays, and estuaries have unique and diverse management needs. The Humboldt Bay Harbor, Recreation and Conservation District was created in 1973 to address these needs. The District oversees planned development of the harbors and ports within the District, as well as protection of the natural resources located here. It is a countywide agency with permit jurisdiction over all tide, submerged and other lands granted to the District, including all of Humboldt Bay. District operations focus on three primary areas: commercial port uses, recreational uses, and conservation. These operations are managed by a staff of employees and are governed by an elected board of commissioners consisting of five members. Utilization of port resources is a critical part of the District’s mission.

Project title: Redwood Marine Terminal I (RMT I) Site and Environmental Review for Multipurpose Marine Terminal Renovations and Expansion to Accommodate the Redwood Coast Offshore Wind Project

Please provide a less than 250 word summary of your project which answers the following questions: How will your project lead to improving the local economy and increasing the quality of life for local residents? What exactly are you going to do and for whom? Why is it necessary? What will be accomplished? How will you accomplish this?

According to recently released federal Bureau of Energy Management report and many industry experts, California’s most viable site for final assembly of offshore turbines is the Port of Humboldt Bay. This port has deep water access with no bridge restrictions and hundreds of acres of empty, available quayside land at the site of pulp and lumber mills that were abandoned when the region’s forest industry collapsed in the 1990s. However, the port itself would need extensive rebuilding and upgrading to allow heavy cranes to assemble the floating platforms. Port facilities are vital for the success of the emerging offshore wind energy industry in Humboldt County. Redwood Marine Terminal I (RMT I) is ideally positioned to be an offshore wind support facility and deployment port and has the potential to make Humboldt County the west coast energy hub. Headwaters Grant funds are needed now to build upon offshore wind energy related work that is currently being completed by Schatz Energy Research Center and others. Headwaters funding will be used to conduct environmental assessments and technical studies necessary to support RMT I dock construction. This work will be used to leverage additional public and private investments. Offshore wind energy use will initially create jobs with the construction
and rebuilding of the dock and will create long-term jobs by attracting a new industry to the area. Offshore wind energy generation is the first industry that has the potential to fully utilize CDI lands since the decline of the forest products industry.

Amount requested: $65,000      Total project cost: $250,000

Grant timeline:   June 2020 to June 2021

Total match amount: $185,000 Match amount as % of project budget 74%
(Required 50% match for implementation, 25% for planning or technical assistance)

Cash match: at least $30,000 In-kind match: up to $155,000 Cash match as % of budget 12%
(Required: 25% for implementation, 12% for planning or technical assistance)

Number of new FTE jobs created, if funded: TBD
Number of FTE jobs retained, if funded (jobs that would otherwise be eliminated): n/a

Type of project:   ☑ Planning   ☐ Implementation

Geographic focus of project: Samoa Peninsula

Number of permanent, long term, private sector jobs to be created: TBD - It is estimated that if California were to install 18 GW of offshore wind capacity by 2045, the state could support over 17,500 jobs in the offshore wind and related industries; we think Humboldt County can position itself to get a large portion of those jobs

Which Industry is your project working with (check off all that apply):

☐ Diversified Health Care  ☑ Specialty Food, Flowers and Beverages  ☑ Building and Systems Construction  ☐ Investment Support Services  ☐ Management and Innovation Services  ☑ Niche Manufacturing  ☐ Tourism  ☐ Forest Products  ☐ Arts and Culture  ☐ Alternative Agriculture

Strategy being employed to promote economic development (check off all that apply):

☐ Supporting development of pre-permitted commercial space  ☑ Reducing regulatory bottlenecks for business retention or creation  ☐ Supporting economic development infrastructure  ☐ Developing new strategies for economic development  ☐ Providing access to external markets or plugs the economic leaks  ☑ Retaining and growing existing businesses  ☐ Providing workforce training
Date of application: January 15, 2019

Organization Name: Redwood Region Entertainment and Education Liaisons, Inc.; D.B.A. Humboldt-Del Norte Film Commission

Director/CEO: Cassandra Hesseltine

Contact Person Name and Title: Cassandra Hesseltine, Film Commissioner & Executive Director

Contact Phone: 707443-4488 Contact Email: commissioner@hdnfc.org

Contact Address: 520 E Street Eureka, CA 95501

Total current year organizational budget: $235,945 # of FTE employees: 2

Summarize the organization’s mission (in the space provided): The film commission is dedicated to promoting California’s Redwood Coast as a premiere location for motion pictures, television, and diverse media industries by educating and acting as liaison with local government, the business community, and area residents to coordinate and facilitate the production process.

Project title: FAM Tour 2020

Please provide a less than 250 word summary of your project which answers the following questions: How will your project lead to improving the local economy and increasing the quality of life for local residents? What exactly are you going to do and for whom? Why is it necessary? What will be accomplished? How will you accomplish this?

The Humboldt-Del Norte Film Commission plans to hold a Familiarity (FAM) Tour designed especially for film industry professionals to become familiar with Humboldt County for filming. While we represent two counties (Humboldt and Del Norte), this tour will focus solely on locations within Humboldt County.

The Film Commission would fly up 9 industry professionals (i.e. studio execs and location managers) to our area to enjoy our picturesque locations, gourmet food, luxury hotels, and experienced local crew in a 3 day weekend. By exposing industry professionals to our resources first hand, we make a stronger impact rather than by showing them pictures of our locations.

The real benefactor of the FAM Tour is the county. When productions film in an area, there is an economic boost to the economy. This is necessary to foster because it’s a huge return on investment compared to the amount of resources exhausted by the productions. For instance, After Earth (Sony Studios film starring Will and Jaden Smith) filmed in Humboldt for only 9 days. However, it took a year of scouting (involving hotels, restaurants, gas, etc), months of prepping before the shoot and weeks of wrapping up after the shoot. This resulted in $5 million being directly spent for the shoot in our community. After the multiplier (the number which includes indirect spending of a production i.e. crew’s tourist-type activities during their off time and the circulation of the money in our community=$2.95; multiplier provided by the California Film Commission), it was nearly a $15 million boost to our economy.
Amount requested: $21,421.85    Total project cost: $43,705.85
Grant timeline:    Period covered: January 2020 to January 2021
Total match amount: $22,284 Match amount as % of project budget 50.99%
(Required 50% match for implementation, 25% for planning)
Cash match: $18,580 In-kind match: $3,704 Cash match as % of budget 42.51%
(Required: 25% for implementation, 12% for planning)
Number of new FTE jobs created, if funded: unknown
Number of FTE jobs retained, if funded (jobs that would otherwise be eliminated): 0
Type of project:  ☐ Planning    ☑ Implementation
Geographic focus of project: Humboldt County
Number of permanent, long term, private sector jobs to be created: Unfortunately, we can not quantify the impact on the private sector jobs regarding the increase of filming in our area. We do know that there is a significant economic impact from production where there is filming. By increasing the frequency of filming in our area, we will increase the economic impact (including in the form of private sector jobs). See narrative for more on temporary and permanent jobs created by increasing the number of filming in Humboldt.

Which industry is your project working with (check off all that apply):

☐ Diversified Health Care
☐ Specialty Food, Flowers and Beverages
☐ Building and Systems Construction
☐ Investment Support Services
☐ Management and Innovation Services
☐ Niche Manufacturing
☒ Tourism
☐ Forest Products
☐ Arts and Culture
☐ Alternative Agriculture

Strategy being employed to promote economic development (check off all that apply):

☐ Supporting development of pre-permitted commercial space
☐ Reducing regulatory bottlenecks for business retention or creation
☒ Supporting economic development infrastructure
☐ Developing new strategies for economic development
☐ Providing access to external markets or plugs the economic leaks
☐ Retaining and growing existing businesses
☐ Providing workforce training
The Headwaters Fund
Grant Fund Application Coversheet

Date of application: January 13, 2020

Organization Name: City of Blue Lake

Director/CEO: Mandy Mager, City Manager

Contact Person Name and Title: Mandy Mager, City Manager

Contact Phone: 707-668-5655 Contact Email: citymanager@bluelake.ca.gov

Contact Address: PO Box 458 Blue Lake, CA 95525

Total current year organizational budget: $905,088 # of FTE employees: 9

Summarize the organization's mission (in the space provided):
To preserve and enhance the historic, family friendly atmosphere of Blue Lake, while at the same time creating an environment that is responsive to the community, respectful of our natural environment and economically viable and vibrant.

Project title: City of Blue Lake Town Square

Please provide a less than 250 word summary of your project which answers the following questions: How will your project lead to improving the local economy and increasing the quality of life for local residents? What exactly are you going to do and for whom? Why is it necessary? What will be accomplished? How will you accomplish this?

The City of Blue Lake is requesting funding to support the development of the Blue Lake Town Square. The new town square will be a catalyst for economic investment in Blue Lake and will support the City’s burgeoning historical downtown. With funding from the Headwaters Fund, the City will implement the first phase of the town square’s development, which will include site clean up and preparation, landscaping design and installation, along with the purchase and installation of benches, tables, a drinking fountain and bike racks.

The new town square will host artisan fairs, farmer’s markets, music performances, as well as being a gathering place for visitors and the community. The square will transform a vacant and blighted property into a welcoming and vibrant focal point. The City, and the community, is committed to this project and has the necessary support, capacity and expertise to complete the identified grant objectives.

Amount requested: $19,550 Total project cost: $223,959
Grant timeline: Period covered: March 1, 2020 to June 30, 2020

Total match amount: $204,408.80 Match amount as % of project budget 91%
(Required 50% match for implementation, 25% for planning or technical assistance)

Cash match: $200,984 In-kind match: $3,425 Cash match as % of budget 90% 
(Required: 25% for implementation, 12% for planning or technical assistance)

Number of new FTE jobs created, if funded: 0
Number of FTE jobs retained, if funded (jobs that would otherwise be eliminated): 0

Type of project: □ Planning    ☑ Implementation

Geographic focus of project: Blue Lake

Number of permanent, long term, private sector jobs to be created: 0

Which Industry is your project working with (check off all that apply):

☐ Diversified Health Care
☒ Specialty Food, Flowers and Beverages
☐ Building and Systems Construction
☐ Investment Support Services
☐ Management and Innovation Services
☒ Niche Manufacturing
☐ Tourism
☐ Forest Products
☒ Arts and Culture
☐ Alternative Agriculture

Strategy being employed to promote economic development (check off all that apply):

☐ Supporting development of pre-permitted commercial space
☐ Reducing regulatory bottlenecks for business retention or creation
☐ Supporting economic development infrastructure
☒ Developing new strategies for economic development
☐ Providing access to external markets or plugs the economic leaks
☐ Retaining and growing existing businesses
☐ Providing workforce training
☐ Increasing the number of new businesses
☐ Leveraging future funding or projects
☐ Reducing poverty by helping people to develop business skills
☐ Other (describe):

Are any of the following components required, and if so are they in place already? (check off in left column if required, then check of “yes” or “no” for if they are in place already)
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